

Rule Summary and Fiscal Analysis

Part A - General Questions

Rule Number: 173-39-02

Rule Type: Amendment

Rule Title/Tagline: ODA provider certification: requirements for providers to become, and to remain, certified.

Agency Name: Department of Aging

Division:

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I. Rule Summary

1. **Is this a five year rule review?** Yes
 - A. **What is the rule's five year review date?** 6/29/2020
2. **Is this rule the result of recent legislation?** No
3. **What statute is this rule being promulgated under?** 119.03
4. **What statute(s) grant rule writing authority?** 121.07, 173.01, 173.02, 173.391, 173.52, 173.522, 173.54, 173.543
5. **What statute(s) does the rule implement or amplify?** 173.39, 173.391, 173.52, 173.522, 173.54, 173.543; 42 CFR 441.352
6. **What are the reasons for proposing the rule?**

ODA proposes to amend this rule as part of a list of amendments it proposes to make to the rules of Chapter 173-39 of the Administrative Code.
7. **Summarize the rule's content, and if this is an amended rule, also summarize the rule's changes.**

This rule establishes the requirements to become, and to remain, an ODA-certified provider. ODA proposes to amend this rule to do the following:

- (1) Replace "qualifications" in (A)(1)(a) and (A)(1)(B) with "qualification."
- (2) Simply require a valid telephone number in (A)(3).
- (3) Replace "services" with "service" in (B)(6).
- (4) Clarify in (B)(8)(f) that engaging in distracting activity applies "while providing s service" and replace "services," with "the service."
- (5) Consolidate all prohibitions against engaging in the unethical, unprofessional, disrespectful, and illegal behaviors as into (B)(8), which makes the same list of prohibitions apply to every provider type. This involves moving the content under (D)(1)(b) to under (B)(8), deleting (D)(2)(b), and revising cross-references throughout the rule.
- (6) Indicate that the prohibition on taking an individual to the provider's business site does not apply if the business site is a residential care facility (RCF).
- (7) Clarify in (B)(12) that a provider's subcontractor is subject to the same laws and rules as the provider.
- (8) Replace ", including complying" in (C)(4)(b) with "and comply."
- (9) Delete (D)(4)(b) because (B)(3)(a) already covers this topic.
- (10) Delete "charge the individual an additional fee" from (D)(4)(c)(ii).
- (11) Indicate in (D)(3)(b) that the records-retention requirement is in addition to the records-retention requirements under (B)(10)(a).

8. Does the rule incorporate material by reference? No

9. If the rule incorporates material by reference and the agency claims the material is exempt pursuant to R.C. 121.75, please explain the basis for the exemption and how an individual can find the referenced material.

Not Applicable

10. **If revising or re-filing the rule, please indicate the changes made in the revised or re-filed version of the rule.**

Not Applicable

II. Fiscal Analysis

11. **Please estimate the increase / decrease in the agency's revenues or expenditures in the current biennium due to this rule.**

This will have no impact on revenues or expenditures.

\$0.00

ODA's proposed amendments to this rule will not affect the biennial budget that the Ohio General Assembly established for ODA in H.B. 166 (133rd G.A.).

12. **What are the estimated costs of compliance for all persons and/or organizations directly affected by the rule?**

Please review ODA's response to question #16 on the business impact analysis (BIA) for the answer to this question.

13. **Does the rule increase local government costs? (If yes, you must complete an RSFA Part B). No**

14. **Does the rule regulate environmental protection? (If yes, you must complete an RSFA Part C). No**

15. **If the rule imposes a regulation fee, explain how the fee directly relates to your agency's cost in regulating the individual or business.**

Not applicable.

III. Common Sense Initiative (CSI) Questions

16. **Was this rule filed with the Common Sense Initiative Office? Yes**

17. **Does this rule have an adverse impact on business? Yes**

- A. **Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? No**

A provider may operate without ODA's certification. A provider only needs ODA's certification if the provider wants the PASSPORT or Assisted Living Programs to pay for covered services that it provides.

- B. Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? No**

R.C. Â§173.391 and 173-39-05 establish the consequences for non-compliance.

- C. Does this rule require specific expenditures or the report of information as a condition of compliance? Yes**

Please review the list of requirements in ODA's response to BIA question #16b.

- D. Is it likely that the rule will directly reduce the revenue or increase the expenses of the lines of business of which it will apply or applies? No**

IV. Regulatory Restrictions (This section only applies to agencies indicated in R.C. 121.95 (A))

- 18. Are you adding a new or removing an existing regulatory restriction as defined in R.C. 121.95? Yes**

- A. How many new regulatory restrictions do you propose adding? 0**

- B. How many existing regulatory restrictions do you propose removing? 4**

(D)(1)(b): The provider SHALL NOT engage in the following behaviors in addition to those in....

(D)(2)(b): Ethical, professional, respectful, and legal service provision: In addition to the list of unethical, unprofessional, disrespectful, or illegal behaviors in ..., the provider SHALL also NOT engage in the behaviors listed in....

(D)(4)(b): Abuse, neglect, or exploitation: The provider SHALL report when it has reasonable cause to believe an individual suffered abuse, neglect, or exploitation to ODH, as required by rule 3701-64-02 of the Administrative Code.

(D)(4)(b): The provider SHALL also notify ODA or its designee

