

Rule Summary and Fiscal Analysis

Part A - General Questions

Rule Number: 173-40-01

Rule Type: New

Rule Title/Tagline: PASSPORT program (state-funded component): introduction and definitions.

Agency Name: Department of Aging

Division:

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I. Rule Summary

1. **Is this a five year rule review?** No
 - A. **What is the rule's five year review date?**
2. **Is this rule the result of recent legislation?** No
3. **What statute is this rule being promulgated under?** 111.15
4. **What statute(s) grant rule writing authority?** 173.01, 173.02, 173.522
5. **What statute(s) does the rule implement or amplify?** 173.522
6. **What are the reasons for proposing the rule?**

This rule filing is part of 2 packages of rules ODA is filing on the same day. The rules in these packages regard eligibility and enrollment in the state- and Medicaid-funded components of the Assisted Living and PASSPORT Programs, the unified waiting list, and PACE. ODA is making many updates to these rules, but all are non-substantive.

7. **Summarize the rule's content, and if this is an amended rule, also summarize the rule's changes.**

This rule introduces Chapter 173-40 of the Administrative Code and defines terms used throughout the chapter.

Compared to the current rule, which ODA simultaneously proposes to rescind, ODA proposes to incorporate the following changes in this proposed new rule:

(1) ODA proposes to delete the definitions for "JFS07200" and "ODM02399" from the rule because ODA proposes to no longer mention those forms in this chapter.

(2) ODA proposes to replace "service plan" with "person-centered services plan."

(3) ODA proposes to standardize the punctuation in the definition of forms.

(4) ODA proposes to delete "goods or" from occurrences of "goods or services."

8. **Does the rule incorporate material by reference?** Yes
9. **If the rule incorporates material by reference and the agency claims the material is exempt pursuant to R.C. 121.71 to 121.76, please explain the basis for the exemption and how an individual can find the referenced material.**
This proposed new rule references forms which ODA publishes on <http://aging.ohio.gov/Rules#930163-forms>. At any time, the general public may download any of the forms free of charge.
10. **If revising or re-filing the rule, please indicate the changes made in the revised or re-filed version of the rule.**

On August 16, 2018, ODA made a revising filing of this rule to upload a revised public hearing notice and to revise this RSFA.

II. Fiscal Analysis

11. **As a result of this proposed rule, please estimate the increase / decrease in revenues or expenditures affecting this agency, or the state generally, in the current biennium or future years. If the proposed rule is likely to have a different fiscal effect in future years, please describe the expected difference and operation.**

This will have no impact on revenues or expenditures.

\$0.00

if ODA adopts this proposed new rule, ODA estimates there will be no increase/decrease in revenue from what the Ohio General Assembly appropriated to ODA for the biennium in Am. Sub. H. B. 49 (132nd G.A.).

12. What are the estimated costs of compliance for all persons and/or organizations directly affected by the rule?

ODA estimates that this rule will have no cost of compliance to any directly-affected person. It will merely introduce the chapter and define terms used throughout the chapter.

13. Does the rule increase local government costs? (If yes, you must complete an RSFA Part B). No

14. Does the rule regulate environmental protection? (If yes, you must complete an RSFA Part C). No

III. Common Sense Initiative (CSI) Questions

15. Was this rule filed with the Common Sense Initiative Office? No

16. Does this rule have an adverse impact on business? No

A. Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? No

B. Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? No

C. Does this rule require specific expenditures or the report of information as a condition of compliance? No

FINANCIAL ASSESSMENT WORKSHEET

DISCLAIMER: ODA's designees use this form to estimate if an individual will be eligible for Medicaid while waiting for the Ohio Department of Medicaid (ODM) to determine eligibility. This enables ODA and its designees to determine if an individual is eligible for the state-funded component of the PASSPORT or Assisted Living Programs while waiting for ODM's determination. This form does not replace the calculations ODM and its administrative agencies make to determine an individual's eligibility for Medicaid.

CLIENT'S <u>INDIVIDUAL'S</u> NAME:		CLIENT'S <u>INDIVIDUAL'S</u> ID #:		
MONTHLY INCOME				
LIST APPLICANT'S GROSS <u>MONTHLY</u> INCOME AMOUNTS:				
	INCOME	APPLICANT <u>INDIVIDUAL</u>	SPOUSAL INCOME <u>SPOUSE (MIA)</u>	
1	Social Security <u>SSI</u>			1
2	Railroad Retirement			2
3	Veterans			3
4	Pensions			4
5	Net Rental Income			5
6	Alimony			6
7	Child Support			7
8	Estate or Trust Fund			8
9	Interest Income			9
10	Dividends			10
11	Gross Monthly <u>Income From</u> Employment			11
12	Other (specify)			12
13	Total Gross <u>Monthly</u> Income			13

DECISION POINTS: ~~COMPARE TOTAL GROSS INCOME TO INSTITUTIONAL NEED STANDARD.~~

◆ COMPARE TOTAL GROSS INCOME TO INSTITUTIONAL NEED STANDARD. IF TOTAL GROSS INCOME IS GREATER THAN THE INSTITUTIONAL NEED STANDARD, ENROLLMENT IN THE STATE-FUNDED PROGRAM WILL NOT BE OFFERED

◆ IF THE SISTER AGENCY IS NOT SURE OF ELIGIBILITY, THEY SHOULD REFER THE INDIVIDUAL TO ODM'S ADMINISTRATIVE AGENCY FOR THEIR AREA OR TO THE ONLINE APPLICATION (OHIOBENEFITS.GOV).

AT EACH DECISION POINT THAT RESULTS IN ENROLLMENT NOT BEING OFFERED, **THE PAA ODA'S DESIGNEE** SHALL INFORM THE **APPLICANT-INDIVIDUAL** OF HEARING RIGHTS.

ODA1115

9/2011 Rev. 11/2018

CLIENT'S <u>INDIVIDUAL'S</u> NAME:		CLIENT'S <u>INDIVIDUAL'S</u> ID.#:			
ASSETS					
LIST APPLICANT'S COUNTABLE ASSETS:					
		APPLICANT INDIVIDUAL (A)	SPOUSE (B)	JOINT (C)	
14	Cash on Hand				14
15	Savings Account(s)				15
16	Checking Account(s)				16
<u>17</u>	<u>Credit Union Account(s)</u>				<u>17</u>
18	Cash Value Whole-of Life Insurance				18
19	Stocks & Securities				19
20	CDs/ IRAs /Money Market				20
<u>21</u>	<u>IRA/401(k) Account(s)</u>				<u>21</u>
22	Trade-in Value/Second Car				22
23	Equity Value/Real Estate				23
<u>24</u>	<u>Estate(s)</u>				<u>24</u>
<u>25</u>	<u>Irrevocable Trust Fund(s)</u>				<u>25</u>
<u>26</u>	<u>Revocable Trust Fund(s)</u>				<u>26</u>
<u>27</u>	<u>Revocable Pre-Need Funeral Contract</u>				<u>27</u>
28	Total Countable Assets				28

DECISION POINTS:

◆ IF **APPLICANT-INDIVIDUAL** HAS NO SPOUSE AND TOTAL ASSETS ARE GREATER THAN THE CURRENT ASSET STANDARD, ENROLLMENT IN THE STATE FUNDED PROGRAM WILL NOT BE OFFERED.

◆ IF THE **APPLICANT-INDIVIDUAL** IS UNABLE TO CONFIRM OR REPORT JOINT COUNTABLE ASSETS, RULE 5160:1-2-10 PROHIBITS ENROLLMENT IN THE STATE FUNDED PROGRAM ~~WILL NOT BE OFFERED.~~

◆ IF THE SISTER AGENCY IS NOT SURE OF ELIGIBILITY, THEY SHOULD REFER THE INDIVIDUAL TO ODM'S ADMINISTRATIVE AGENCY FOR THEIR AREA OR TO THE ONLINE APPLICATION (OHIOBENEFITS.GOV).

AT EACH DECISION POINT THAT RESULTS IN ENROLLMENT NOT BEING OFFERED, **THE PAA ODA'S DESIGNEE** SHALL INFORM THE **APPLICANT-INDIVIDUAL** OF HEARING RIGHTS.

ODA1115

9/2011 Rev. 11/2018

CLIENT'S INDIVIDUAL'S NAME:		CLIENT'S INDIVIDUAL'S ID #:	
TRANSFERRED ASSETS			
<u>29</u>	<u>DID THE INDIVIDUAL OR SPOUSE TRANSFER OWNERSHIP OF, OR GIVE AWAY, ANY ASSETS IN THE PAST 5 YEARS?</u>	NO	YES, WHEN?
<u>29</u>			

DECISION POINTS:

- ◆ IF THE ~~APPLICANT-INDIVIDUAL~~ OR SPOUSE (IF ANY) HAS TRANSFERRED **RESOURCES ASSETS** WITHIN THE LAST **5 YEARS (60 MONTHS)**, ENROLLMENT IN THE STATE FUNDED PROGRAM WILL NOT BE OFFERED.
- ◆ IF THE ~~APPLICANT-INDIVIDUAL~~ OR SPOUSE (IF ANY) HAS REPORTED A TRUST, OF ANY TYPE, HAS BEEN ESTABLISHED, ENROLLMENT IN THE STATE FUNDED PROGRAM WILL NOT BE OFFERED.
- ◆ IF THE SISTER AGENCY IS NOT SURE OF ELIGIBILITY, THEY SHOULD REFER THE INDIVIDUAL TO ODM'S ADMINISTRATIVE AGENCY FOR THEIR AREA OR TO THE ONLINE APPLICATION (OHIOBENEFITS.GOV).

AT EACH DECISION POINT THAT RESULTS IN ENROLLMENT NOT BEING OFFERED, ~~THE PAA~~ **ODA'S DESIGNEE** SHALL INFORM THE ~~APPLICANT-INDIVIDUAL~~ OF HEARING RIGHTS.

CLIENT'S INDIVIDUAL'S NAME:		CLIENT'S INDIVIDUAL'S ID.#:	
ESTIMATE OF MONTHLY LIABILITY PAYMENT:			
AT ANY TIME THE RESULT IS <0, THEN ENTER 0 ON ALL THE APPROPRIATE LINE(S)			
30	Enter Total <u>Gross</u> Monthly Income From <u>from</u> Line 13		30
31A	SUBTRACT: A. Special Individual Maintenance Needs Allowance (SIMNA): and		31A
31B	B. If employed, subtract up to \$65.00 of Earned <u>gross monthly</u> income		31B
32	Subtotal		32
33	Subtract MIA, <u>(if appropriate)</u> from Line 55 <u>61</u>		33
34	Subtotal		34
35	Subtract FA, <u>(if appropriate)</u> from Line 62 <u>68</u>		35
36	Subtotal		31
37	Subtract Health Insurance Premiums <u>health insurance premiums (including for spouse and dependents)</u>		37
38	Subtotal		38
39	Subtract recurring health expenses		39
40	Subtotal		40
41	Subtract past-due medical expenses		41
42	ESTIMATE INDIVIDUAL'S MONTHLY LIABILITY PAYMENT		42

DECISION POINTS:

◆ **IF THE ~~APPLICANT'S INDIVIDUAL'S~~ ESTIMATED MONTHLY LIABILITY EXCEEDS THE ESTIMATED MEDICAID COST OF CARE, AS DEFINED IN OAC ~~5101:1-39-24 (B)(18)~~ 5160:1-3-04.1(F)(3), ENROLLMENT IN THE STATE-FUNDED PROGRAM WILL NOT BE OFFERED.**

◆ **IF THE SISTER AGENCY IS NOT SURE OF ELIGIBILITY, THEY SHOULD REFER THE INDIVIDUAL TO ODM'S ADMINISTRATIVE AGENCY FOR THEIR AREA OR TO THE ONLINE APPLICATION (OHIOBENEFITS.GOV).**

AT EACH DECISION POINT THAT RESULTS IN ENROLLMENT NOT BEING OFFERED, ~~THE PAA~~ ODA'S DESIGNEE SHALL INFORM THE ~~APPLICANT INDIVIDUAL~~ OF HEARING RIGHTS.

CLIENT'S INDIVIDUAL'S NAME:		CLIENT'S INDIVIDUAL'S ID #:	
COMMUNITY SPOUSAL ALLOCATION OF ASSETS			
42	Enter Applicant's individual's total assets from Line 22A <u>28A</u>		42
43	Enter Spouse's total assets from Line 22B <u>28B</u>		43
44	Enter joint assets from Line 22C <u>28C</u>		44
45	Total Countable Assets		45
46	Subtract spousal floor allocation	-\$	46
47	Enter result: assets available to applicant <u>individual</u>		47
48	Compare result with Medicaid asset standard (If Line 41 <u>47</u> is equal to or less than \$1,500 <u>\$2,000</u> , the applicant <u>individual</u> meets the asset standard)	-\$	48
49	Excess resources <u>assets</u> (Currently ineligible for Medicaid)		49
<p>NOTE: A SPOUSAL ALLOCATION CAN OCCUR WHEN AN INDIVIDUAL HAS LEGAL OWNERSHIP OF RESOURCES-ASSETS IN EXCESS OF THE MEDICAID STANDARD AND IS WILLING TO TRANSFER THAT OWNERSHIP TO THE COMMUNITY SPOUSE. MEDICAID-ODM <u>ALLOWS THIS A</u> TRANSFER TO OCCUR <u>TO THE SPOUSE</u> DURING THE INITIAL YEAR OF MEDICAID ELIGIBILITY. THE INDIVIDUAL WHO IS REQUIRED TO TRANSFER RESOURCES-ASSETS MUST AGREE TO COMPLETE THE TRANSFER PROCESS WITH THE CDJFS <u>ODM'S ADMINISTRATIVE AGENCY</u>. THIS TYPE OF TRANSFER IS LEGITIMATE AND APPROPRIATE FOR MEDICAID ELIGIBILITY.</p>			

CLIENT'S INDIVIDUAL'S NAME:		CLIENT'S INDIVIDUAL'S ID.#:		
DETERMINING MONTHLY INCOME ALLOWANCE — (MIA) AND FAMILY ALLOWANCE (FA)				
50	Minimum Monthly Maintenance Needs Allowance (MMMNA) Standard for Community Spouse			50
EXCESS SHELTER ALLOWANCE (ESA):				
51	Rent or monthly mortgage payment			51
52	Monthly property taxes			52
53	Monthly renters or homeowners insurance			53
54	Monthly condo or home owner association fees			54
55	Utility deduction	+		55
56	Total shelter costs			56
57	Subtract ESA standard	-		57
58	Excess shelter allowance (ESA) (Enter 0, if result is <0)		+	58
59	ADD LINES 44 <u>51</u> & 52 <u>58</u>		=	59
60	SUBTRACT COMMUNITY SPOUSE'S <u>GROSS MONTHLY INCOME</u>		-	60
61	MONTHLY INCOME ALLOWANCE (MIA) Enter result on Page 3, Line 26 <u>33</u>		=	61
CALCULATE FAMILY ALLOWANCE (FA) IF APPLICANT <u>INDIVIDUAL</u> HAS SPOUSE AND DEPENDENTS				
62	Family allowance standard			62
63	Multiply by number of dependents (excluding spouse)	x		63
64	Subtotal			64
65	Subtract total gross <u>monthly</u> income of dependents (Enter 0, If result is <0)	-		65
66	Subtotal			66
67	Divide by 3			67
68	FAMILY ALLOWANCE Also enter result on page 2, line 28 <u>30</u>			68

COMMUNITY SPOUSAL ALLOCATION OF ASSETS:	DATE
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