# Rule Summary and Fiscal Analysis (Part A)

#### **Department of Aging**

Agency Name

**Tom Simmons** 

Division Contact

50 West Broad Street 9th floor Columbus OH 614-728-2548

43215-3363

Agency Mailing Address (Plus Zip) Phone Fax

tsimmons@age.ohio.gov

Email

173-60-04 **NEW** 

Rule Number TYPE of rule filing

Rule Title/Tag Line Quality improvement projects: online publication of approved

projects.

### **RULE SUMMARY**

- 1. Is the rule being filed consistent with the requirements of the RC 119.032 review?  $N_0$
- 2. Are you proposing this rule as a result of recent legislation? Yes

Bill Number: **HB59** General Assembly: **130** Sponsor: **Amstutz** 

3. Statute prescribing the procedure in accordance with the agency is required

to adopt the rule: 119.03

4. Statute(s) authorizing agency to adopt the rule: 173.01, 173.02, 173.60

5. Statute(s) the rule, as filed, amplifies

or implements: 173.60

6. State the reason(s) for proposing (i.e., why are you filing,) this rule:

H.B.59 (130th G.A.) enacted section 173.60 of the Revised Code to create the Nursing Home Quality Initiative (NHQI). As a result, ODA proposes to adopt this rule and the rest of Chapter 173-60 of the Administrative Code to implement regulations on quality-improvement projects for the NHQI.

Page 2 Rule Number: 173-60-04

7. If the rule is an AMENDMENT, then summarize the changes and the content of the proposed rule; If the rule type is RESCISSION, NEW or NO CHANGE, then summarize the content of the rule:

Proposed new rule 173-60-04 of the Administrative Code would require ODA to publish approved quality-improvement projects on the Ohio Long-Term Care Quality Initiative website (http://aging.ohio.gov/ltcquality/).

8. If the rule incorporates a text or other material by reference and the agency claims the incorporation by reference is exempt from compliance with sections 121.71 to 121.74 of the Revised Code because the text or other material is **generally available** to persons who reasonably can be expected to be affected by the rule, provide an explanation of how the text or other material is generally available to those persons:

The Ohio Long-Term Care Quality Initiative website (http://aging.ohio.gov/ltcquality/) is readily available to the general public free of charge.

9. If the rule incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material electronically, provide an explanation of why filing the text or other material electronically was infeasible:

ODA did not file the website as part of the proposed new rule because ODA publishes the website and makes it readily available to the general public free of charge.

10. If the rule is being **rescinded** and incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material, provide an explanation of why filing the text or other material was infeasible:

*Not Applicable.* 

11. If **revising** or **refiling** this rule, identify changes made from the previously filed version of this rule; if none, please state so. If applicable, indicate each specific paragraph of the rule that has been modified:

Not Applicable.

#### 12. 119.032 Rule Review Date:

(If the rule is not exempt and you answered NO to question No. 1, provide the

Page 3 Rule Number: 173-60-04

scheduled review date. If you answered YES to No. 1, the review date for this rule is the filing date.)

NOTE: If the rule is not exempt at the time of final filing, two dates are required: the current review date plus a date not to exceed 5 years from the effective date for Amended rules or a date not to exceed 5 years from the review date for No Change rules.

#### FISCAL ANALYSIS

13. Estimate the total amount by which *this proposed rule* would **increase / decrease** either **revenues / expenditures** for the agency during the current biennium (in dollars): Explain the net impact of the proposed changes to the budget of your agency/department.

This will have no impact on revenues or expenditures.

\$0.00

ODA estimates that the adoption of this proposed new rule would have no impact upon the biennial budget that the Ohio General Assembly established for ODA in H.B.59 (130th G.A.). H.B.59 not only established ODA's biennial budget, it also authorized the NHQI. Therefore, the General Assembly factored NHQI expenses into ODA's budget. No requirements in the proposed new rule would cause ODA to exceed that budget.

14. Identify the appropriation (by line item etc.) that authorizes each expenditure necessitated by the proposed rule:

5BA0-490-620 Ombudsman Support.

15. Provide a summary of the estimated cost of compliance with the rule to all directly affected persons. When appropriate, please include the source for your information/estimated costs, e.g. industry, CFR, internal/agency:

ODA estimates that the adoption of this proposed new rule would not create a cost of compliance for any directly-affected person. In the proposed new rule, ODA would only regulate itself.

Please see the BIA for more information on the rule project as a whole.

16. Does this rule have a fiscal effect on school districts, counties, townships, or municipal corporations?  $N_0$ 

Page 4 Rule Number: 173-60-04

17. Does this rule deal with environmental protection or contain a component dealing with environmental protection as defined in R. C. 121.39? **No** 

## S.B. 2 (129th General Assembly) Questions

- 18. Has this rule been filed with the Common Sense Initiative Office pursuant to R.C. 121.82? Yes
- 19. Specific to this rule, answer the following:
- A.) Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business?  $N_0$

SPONSORS' QI PROJECTS: This proposed new rule would not require a sponsoring entity to have a license, permit, or any other prior authorization for a sponsoring entity to engage in or operate a line of business.

NURSING HOMES: This proposed new rule would not require a nursing home to have a license, permit, or any other prior authorization to engage in or operate a line of business.

B.) Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms?  $N_0$ 

SPONSORS' QI PROJECTS: This proposed new rule wouldn't impose penalties for non-compliance to sponsoring entities of quality-improvement projects.

NURSING HOMES: Although the NHQI addresses nursing homes, this proposed new rule wouldn't impose penalties for non-compliance to nursing homes, because it only addresses ODA's responsibility to publish the quality-improvement projects that ODA approves on the Ohio Long-Term Quality Initiative website (http://aging.ohio.gov/ltcquality/), which ODA maintains.

The Ohio Department of Health, as the state survey agency, has the authority to take action against a nursing home that doesn't comply with section 3721.072 of the Revised Code.

C.) Does this rule require specific expenditures or the report of information as a condition of compliance? No

SPONSORS' QI PROJECTS: This proposed new rule would not require sponsoring entities to make specific expenditures or to report information. ODA publishes the Ohio Long-Term Quality Initiative website free of charge to the general public, including to the sponsoring entities of the quality-improvement projects that ODA

Page 5 Rule Number: 173-60-04

lists on the website.

NURSING HOMES: The proposed new rule would not require specific expenditures or the report of information. The rule would only require ODA to publish approved quality-improvement projects on the aforementioned website.