ACTION: Original

DATE: 11/03/2016 11:37 AM

Rule Summary and Fiscal Analysis (Part A)

Department of Health

Agency Name

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Division

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3701-12-23 AMENDMENT

Rule Number TYPE of rule filing

Rule Title/Tag Line Long-term care facilities and beds and bed review criteria;

state and county bed need.

RULE SUMMARY

- 1. Is the rule being filed for five year review (FYR)? No
- 2. Are you proposing this rule as a result of recent legislation? No
- 3. Statute prescribing the procedure in accordance with the agency is required to adopt the rule: 119.03
- 4. Statute(s) authorizing agency to adopt the rule: 3702.52, 3702.521, 3702.57
- 5. Statute(s) the rule, as filed, amplifies or implements: 3702.51, 3702.52, 3702.524, 3702.526, 3702.527, 3702.54, 3702.58, 3702.59, 3702.592, 3702.593, 3702.594
- 6. State the reason(s) for proposing (i.e., why are you filing,) this rule:

Amendments to rule 3701-12-23 of the Administrative Code are proposed due to an oversight during the final filing of the five-year review of Chapter 3701-12 in 2015 resulting in the failure to include the recommended amendment in the final version of the rule.

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7. If the rule is an AMENDMENT, then summarize the changes and the content of the proposed rule; If the rule type is RESCISSION, NEW or NO CHANGE, then summarize the content of the rule:

This rule establishes the long-term care facilities and beds review criteria and the state and county bed need calculation formula as authorized by ORC section 3702.593. The requested revision has been made remove a less than fifty bed restriction from the CON consideration and from the comparative review criteria for the awarding of points. This revision is the result of industry trends.

8. If the rule incorporates a text or other material by reference and the agency claims the incorporation by reference is exempt from compliance with sections 121.71 to 121.74 of the Revised Code because the text or other material is **generally available** to persons who reasonably can be expected to be affected by the rule, provide an explanation of how the text or other material is generally available to those persons:

This rule references the Ohio Revised and Administrative Codes, the Public Health Service Act of 1973, and the Social Security Act of 1935 (both to be found in the United States Code). The O.R.C., O.A.C., and U.S.C. are generally available in libraries and on the internet to persons who reasonably can be expected to be affected by the rule.

9. If the rule incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material electronically, provide an explanation of why filing the text or other material electronically was infeasible:

The cited texts are exempted from inclusion in this filing pursuant to R.C. 121.75 and 121.76.

10. If the rule is being **rescinded** and incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material, provide an explanation of why filing the text or other material was infeasible:

Not Applicable.

11. If **revising** or **refiling** this rule, identify changes made from the previously filed version of this rule; if none, please state so. If applicable, indicate each specific paragraph of the rule that has been modified:

Not Applicable.

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12. Five Year Review (FYR) Date: 8/1/2020

(If the rule is not exempt and you answered NO to question No. 1, provide the scheduled review date. If you answered YES to No. 1, the review date for this rule is the filing date.)

NOTE: If the rule is not exempt at the time of final filing, two dates are required: the current review date plus a date not to exceed 5 years from the effective date for Amended rules or a date not to exceed 5 years from the review date for No Change rules.

FISCAL ANALYSIS

13. Estimate the total amount by which *this proposed rule* would **increase / decrease** either **revenues / expenditures** for the agency during the current biennium (in dollars): Explain the net impact of the proposed changes to the budget of your agency/department.

This will have no impact on revenues or expenditures.

\$0.00

Not applicable

14. Identify the appropriation (by line item etc.) that authorizes each expenditure necessitated by the proposed rule:

Not applicable

15. Provide a summary of the estimated cost of compliance with the rule to all directly affected persons. When appropriate, please include the source for your information/estimated costs, e.g. industry, CFR, internal/agency:

There are o new costs associated with the amendments to this rule. There is no increase from existing costs associated with the preparation and submission of an application and supporting documents. The time necessary to prepare the application and supporting documents is dependent upon the size and complexity of the project.

- 16. Does this rule have a fiscal effect on school districts, counties, townships, or municipal corporations? N_0
- 17. Does this rule deal with environmental protection or contain a component

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dealing with environmental protection as defined in R. C. 121.39? No

S.B. 2 (129th General Assembly) Questions

- 18. Has this rule been filed with the Common Sense Initiative Office pursuant to R.C. 121.82? Yes
- 19. Specific to this rule, answer the following:
- A.) Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? N_0

All rules in Chapter 3701-12 are part of the Certificate of Need Application (CON) process. Any person seeking to engage in reviewable activities involving long-term care facilities or long-term care beds must obtain this written approval granted by the Director of Health.

- B.) Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? **No**
- C.) Does this rule require specific expenditures or the report of information as a condition of compliance? No