

## Rule Summary and Fiscal Analysis

### Part A - General Questions

**Rule Number:** 3701-64-05

**Rule Type:** Amendment

**Rule Title/Tagline:** Findings of director; petition to remove a finding of neglect from the nurse aide registry.

**Agency Name:** Department of Health

**Division:**

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#### I. Rule Summary

1. **Is this a five year rule review?** No
  - A. **What is the rule's five year review date?** 4/15/2021
2. **Is this rule the result of recent legislation?** Yes
  - A. **If so, what is the bill number, General Assembly and Sponsor?** HB 49 - 132 - Smith
3. **What statute is this rule being promulgated under?** 119.03
4. **What statute(s) grant rule writing authority?** 3721.26
5. **What statute(s) does the rule implement or amplify?** 3721.23
6. **What are the reasons for proposing the rule?**

This rule is being filed to incorporate provision of HB 49 of the 132nd General Assembly.
7. **Summarize the rule's content, and if this is an amended rule, also summarize the rule's changes.**

This rule sets forth the procedures for the Ohio Department of Health to follow when making a finding of abuse or neglect. The rules also set forth the procedures for an individual who has a single finding of neglect must follow to petition the Ohio Department of Health for removal from the nurse aide registry. The term "exploitation" is being added to this rule.

8. **Does the rule incorporate material by reference? Yes**
9. **If the rule incorporates material by reference and the agency claims the material is exempt pursuant to R.C. 121.71 to 121.76, please explain the basis for the exemption and how an individual can find the referenced material.**  
Ohio Revised Code  
Ohio Administrative Code
10. **If revising or re-filing the rule, please indicate the changes made in the revised or re-filed version of the rule.**

The PDFs of the Rule Body had DRAFT-NOT FOR FILING at the top.

## **II. Fiscal Analysis**

11. **As a result of this proposed rule, please estimate the increase / decrease in revenues or expenditures affecting this agency, or the state generally, in the current biennium or future years. If the proposed rule is likely to have a different fiscal effect in future years, please describe the expected difference and operation.**

This will have no impact on revenues or expenditures.

0.00

Not Applicable.

12. **What are the estimated costs of compliance for all persons and/or organizations directly affected by the rule?**

Not Applicable.

13. **Does the rule increase local government costs? (If yes, you must complete an RSFA Part B). No**
14. **Does the rule regulate environmental protection? (If yes, you must complete an RSFA Part C). No**

### **III. Common Sense Initiative (CSI) Questions**

**15. Was this rule filed with the Common Sense Initiative Office? Yes**

**16. Does this rule have an adverse impact on business? Yes**

**A. Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? No**

While this rule does not require a license, all long-term care facilities and residential care facilities required to report allegations of abuse, neglect or misappropriation are required to be licensed in accordance with Chapter 3721. of the Revised Code.

**B. Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? No**

This rule allows the Director of Health to make a finding (civil penalty) that an individual committed an act of abuse, neglect or misappropriation against a resident of a long-term care facility or residential care facility.

**C. Does this rule require specific expenditures or the report of information as a condition of compliance? No**

An individual who wishes to have a finding of neglect removed must submit information to the Ohio Department of Health.