

4717-10-01 Preeed funeral contract annual report.

(A) Unless exempt from compliance under section [4717.38](#) of the Revised Code or otherwise not required to file an annual report with the board pursuant to division (K) of section [4717.31](#) of the Revised Code, each year no later than the thirtieth day of March, a seller of preneed funeral contracts who is subject to sections [4717.31](#) to [4717.38](#) of the Revised Code shall file an annual report relating to all existing funded preneed contracts as of December thirty-first of the prior calendar year. The report shall be submitted on a form provided by the board and shall include, but not be limited to the following:

- (1) The name of the beneficiary of each trust account or insurance policy as of the thirty-first day of December;
 - (2) An account or policy number for each trust account or insurance policy as of the thirty-first day of December;
 - (3) The account balance of each trust account as of the thirty-first day of December;
 - (4) Any activity on each trust account in the calendar year immediately preceding the thirty-first day of December;
 - (5) Information indicating whether the trust funds in each trust account were invested in accordance with Chapter 1111. of the Revised Code in the calendar year immediately preceding the thirty-first day of December;
 - (6) In the event that the trustee of the account is a natural person, evidence of the existence and amount of any corporate surety bond for each account as of the thirty-first day of December.
- (B) An affidavit certifying items in paragraphs (A)(1) to (A)(6) of this rule signed by the funeral director actually in charge of and ultimately responsible for the funeral home.

Replaces: 4717-1-25

Effective:			04/10/2011
R.C.	119.032	review	dates: 04/10/2016
Promulgated		Under:	119.03
Statutory		Authority:	4717.04
Rule		Amplifies:	4717.31
Prior Effective Dates: 1/1/86, 1/1/90, 1/1/01, 01-09-07			

Five Year Review (FYR) Dates: 03/27/2017 and 04/05/2017

CERTIFIED ELECTRONICALLY

Certification

03/27/2017

Date

Promulgated Under: 119.03
Statutory Authority: 4717.04
Rule Amplifies: 4717.31
Prior Effective Dates: 1/1/86, 1/1/90, 1/1/01, 1/9/07