

TO BE RESCINDED

4901:1-10-08 **Emergency ~~plan(s)~~ plan; annual emergency contact report and annual review of emergency plan; critical customers; emergency exercise; and coordination.**

(A) Each ~~EDU~~ electric utility shall maintain an emergency plan(s) in accordance with this rule. Each ~~EDU~~ electric utility shall make its emergency plan and amendments available for review by the commission's outage coordinator. In the emergency plan made available to the commission's outage coordinator, the ~~EDU~~ electric utility may delete the following confidential information:

- (1) ~~EDU's~~ The electric utility's internal phone numbers;.
- (2) Names, home addresses, and home phone numbers of ~~EDU~~ electric utility employees, other than employee information required for the annual emergency contact report pursuant to paragraph (G)(1)(a) of this rule;.
- (3) Security and personal information and numbers (e.g., lock combination, computer access codes, cipher locks, and security codes);.
- (4) Identification of the ~~EDU's~~ electric utility's radio and dispatch channels;.
- (5) Identification of the radio and dispatch channels and telephone numbers of the following:
 - (a) Fire department;.
 - (b) Police department;.
 - (c) Other emergency/safety organizations; ~~and~~.
 - (d) Government and public officials; ~~and~~.
- (6) Similar information approved by the commission's outage coordinator.

(B) Each emergency plan shall include at least the following elements, or if these elements are contained in another document, each ~~EDU~~ electric utility shall reference such document in the plan:

- (1) A table of contents, mission statement, and major objectives for the plan;.

- (2) A description of procedures the ~~EDU~~ electric utility uses to move from its normal operations to each stage or level of outage response and restoration of service;
- (3) A description of the ~~EDU's~~ electric utility's requirements for restoring service;
- (4) Identification and annual updates of all of the electric utility's critical facilities, as defined by the electric utility, and reasonable measures to protect its personnel and facilities.
- ~~(4)~~(5) Contingency identification, i.e., a plan for training alternative or backup ~~staff employees~~, identifying backup power supplies, and identifying alternative means of communicating with the office and field ~~staff~~; employees.
- ~~(5)~~(6) A list of twenty-four hour phone numbers of fire and police departments and county/regional emergency management directors in its service area;
- ~~(6)~~(7) Procedures for requesting aid, utilizing crews from other electric transmission owners and/or distribution utilities, and utilizing other restoration assistance;
- ~~(7)~~(8) Procedures for prompt identification of outage areas, ~~how to~~ timely assess assessment of damage; and, as accurately as conditions allow, ~~provide~~ provision of an informed estimate of materials, equipment, personnel, and hours required to restore service;
- ~~(8)~~(9) Performance objectives ~~and procedures~~ for telephone response time to customer outage calls; and procedures to accomplish those objectives.
- ~~(9)~~(10) The policy and procedures for outage response and restoration of service by priority and a list of such priorities, including the following:
- (a) "Live wire down" situations;
 - (b) Restoring service to the facilities designated in paragraph (A)(3) and the entities specified in paragraph (A)(4) of rule 4901:1-10-07 of the Administrative Code; ~~and~~
 - (c) Providing information to critical customers who are without service;
- ~~(10)~~(11) The policy and procedures for providing outage response and restoration

of service updates to the county/regional emergency management directors, mayors, and other elected officials; the commission's outage coordinator; ~~commission;~~ the commission's media office; the media; and the EDU's electric utility's customers;

~~(11)~~(12) The policy and procedures to verify that service has been restored in each outage area;

~~(12)~~(13) Policy The policy and procedures for providing maximum outage response, seeking outside assistance, and restoring service in a worst case ~~weather~~ outage scenario, i.e., "a major storm". Each EDU shall define "major storm" or comparable term in its emergency plan. ~~If the company and director of the consumer services department or the director's designee cannot agree on the definition of a "major storm" or comparable term, staff and/or the company may apply to the commission for a hearing within forty five days after submission of the company's proposal and file a written report and/or recommendations and submit evidence on such at the hearing event.~~

~~(13)~~(14) Policy The policy and procedures to provide supervisors who are responsible for emergency response a copy of the latest edition of the emergency plan; ~~and,~~

~~(14)~~(15) Policy The policy and procedures to:

(a) Establish and maintain a liaison with appropriate fire and police departments within ~~their~~ the electric utility's service territory; ~~and,~~

(b) Identify major interruptions of service ~~in~~ during which the EDU ~~notifies~~ electric utility will notify appropriate fire departments, police departments, and public officials ~~and how to engage in mutual assistance and communication during major restoration efforts regarding such interruptions.~~

(c) Determine appropriate mutual assistance and communication methodologies that will be used during major restoration efforts.

(16) A continuity of operations plan to assure continuance of minimum essential functions during a large scale event in which staffing is reduced. In addition to any North American electric reliability corporation guidelines or standards, such plans shall provide for:

(a) Plan activation triggers such as the world health organization's pandemic phase alert levels, widespread transmission within the United States, or

a case at one or more locations with the state of Ohio.

(b) Identification of a pandemic coordinator and team with defined roles and responsibilities for preparedness and response planning.

(c) Identification of minimal essential functions, minimal staffing required to maintain such essential functions, and personnel resource pools required to ensure continuance of those functions in progressive stages associated with a declining workforce.

(d) Identification of essential employees and critical inputs (e.g., raw materials, equipment, suppliers, subcontractor services/products, and logistics) required to maintain business operations by location and function.

(e) Policies and procedures to address personal protection initiatives.

(f) Policies and procedures to maintain lines of communication with the commission during a declared emergency.

(17) Policies and procedures for conducting an after-action assessment following activation of the emergency plan. An after-action assessment shall be prepared and shall include lessons learned, deficiencies in the response to the emergency, deficiencies in the emergency plan, and actions to be taken to correct said deficiencies.

(C) Each ~~EDU~~ electric utility shall follow and implement the procedures in its emergency plan.

(D) Each ~~EDU~~ electric utility shall review employee activities to determine whether its procedures in the emergency plan, as set forth in paragraph (B) of this rule, were effectively followed.

(E) Each ~~EDU~~ electric utility shall establish and maintain policy and procedures to train its operating and emergency response personnel to assure they know and can implement emergency procedures, as set forth in paragraph (B) of this rule.

(F) Each ~~EDU~~ electric utility shall establish procedures for analyzing failures of equipment and facilities which result in a major interruption of service, for the purpose of determining the causes of the failure and minimizing the possibility of a recurrence.

(G) ~~Each EDU shall submit to~~ At the direction of the commission's outage coordinator, not later than December first of each year electric utility shall submit:

(1) An emergency contact report which shall contain all of the following information:

(a) The names, position titles, areas of functional responsibility, business addresses, and 3-mail addresses, business telephone numbers, cellular telephone numbers, and home telephone numbers of at least three individuals who will serve as emergency contact personnel; contacts.

(b) Any available emergency hotline number; ~~and.~~

(c) The fax number(s) of its emergency ~~contact personnel; contacts.~~

(2) A report confirming that the EDU electric utility has reviewed its emergency plan and, if applicable, has revised and/or updated the plan, or has established a new plan. Each EDU electric utility shall also submit all revisions and updates to its plan or the new plan; and.

(3) Either of the following:

~~(3)(a)~~ (a) If the ~~company~~ electric utility has not implemented its emergency plan within the past year, a written statement attesting to that fact ~~or a written summary of.~~

(b) If the electric utility has implemented part or all of its emergency plan within the past year, a written summary of both of the following:

~~(a)(i)~~ (i) Any failures of equipment or facilities ~~which that were not the result of a major storm and that~~ resulted in a major interruption of service; not as a result of a major storm, and the ~~company~~ electric utility implementing its emergency plan; ~~and.~~

~~(b)(ii)~~ (ii) The ~~company's~~ electric utility's efforts to minimize the possibility of a recurrence of such failures.

(H) Each ~~EDU~~ electric utility shall promptly notify the commission's outage coordinator of any change in its emergency ~~contact personnel~~ contacts.

(I) ~~Critical customers.~~ Each ~~EDU~~ electric utility shall:

(1) Maintain and annually verify and update its list of critical customers; ~~.~~

- (2) Provide ~~critical~~ customers, within ten business days after acceptance of their application, with a written statement of their options and responsibilities during outages, i.e., the need for backup generators, an alternative power source, or evacuation to another location; ~~and,~~
 - (3) Annually notify customers of its critical customer program by bill insert or other notice.
- (J) ~~Emergency exercise.~~ Every three years, each EDU electric utility shall conduct a comprehensive emergency exercise to test and evaluate major components of its emergency plan and shall invite a cross-section of the following, or their representatives, to the exercise:
- (1) Mayors and other elected officials; ~~;~~
 - (2) County/regional emergency management directors; ~~;~~
 - (3) Fire and police departments; ~~;~~
 - (4) Community organizations ~~like such as the Red Cross; and American red cross.~~
 - (5) ~~Commission's~~ The commission's outage coordinator.

~~When an EDU implements any element of its emergency plan set forth in paragraph (B) of this rule in response to a major storm (or comparable term), natural disaster, or outage, such company may request the commission waive the testing and evaluation of the emergency plan for the applicable period. To request a waiver the EDU must submit a report to the commission's outage coordinator detailing its actions, what part of the emergency exercise the implemented plan replaces, why it is an appropriate replacement for the part of the plan, including the EDU's interactions with the persons listed in this paragraph and whether the implemented plan indicates that the company's response to the emergency was sufficient and may request that the actual use of its emergency plan meets this rule's requirement for an emergency exercise. If the commission's outage coordinator fails to act upon the company's request to find that the use of its emergency plan meets the requirements for an emergency exercise within sixty days after such request is submitted to the outage coordinator, then the company shall be considered to have exercised its emergency plan in accordance with this paragraph.~~

- (K) When an electric utility has implemented its emergency plan as set forth in paragraph (B) of this rule in response to a major event, natural disaster, or outage, that electric utility may request that the commission waive the testing and evaluation of the

emergency plan for the three-year period during which such implementation occurred. To request a waiver, the electric utility must submit a report to the commission's outage coordinator detailing:

- (1) Its actions in implementing its emergency plan.
- (2) What part of the emergency exercise the implemented plan replaces.
- (3) Why the implementation is an appropriate replacement for an emergency exercise of all or a portion of the plan.
- (4) The electric utility's interactions with the persons listed in paragraph (J) of this rule.
- (5) Whether the implemented plan indicates that the electric utility's response to the emergency was sufficient. If the commission fails to act upon an electric utility's waiver request within sixty days after such request is submitted to the outage coordinator, then the waiver request shall be deemed to have been granted.

~~(K)~~(L) Coordination. Each ~~EDU~~ electric utility shall coordinate the implementation of its emergency plan, to the extent that such ~~EDU~~ electric utility would rely on or require ~~information/assistance~~ information or assistance during an emergency, with the following:

- (1) Any ~~regional/state~~ regional or state entities with authority, ownership, or control over electric transmission lines;
- (2) Any generation provider connected to the ~~EDU's~~ electric utility's system; ~~and,~~
- (3) Any other ~~EDU~~ electric utility or transmission owner with facilities connected to the ~~EDU~~ electric utility.

Effective:

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Certification

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