

## Rule Summary and Fiscal Analysis

### Part A - General Questions

**Rule Number:** 4901:1-12-08  
**Rule Type:** Amendment  
**Rule Title/Tagline:** Audits and hearings.  
**Agency Name:** Public Utilities Commission of Ohio  
**Division:** Utilities  
**Address:** 180 East Broad Street Columbus OH 43215-3793  
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#### I. Rule Summary

1. **Is this a five year rule review?** No
  - A. **What is the rule's five year review date?** 9/16/2026
2. **Is this rule the result of recent legislation?** No
3. **What statute is this rule being promulgated under?** 111.15
4. **What statute(s) grant rule writing authority?** 4905.304
5. **What statute(s) does the rule implement or amplify?** 1551.33, 1555.01, 4905.01, 4905.304
6. **Does the rule implement a federal law or rule in a manner that is more stringent or burdensome than the federal law or regulation requires?** No
  - A. **If so, what is the citation to the federal law or rule?** Not Applicable
7. **What are the reasons for proposing the rule?**

This is being proposed for review where R.C. 121.951(A)(1) requires state agencies to reduce their total number of regulatory restrictions.
8. **Summarize the rule's content, and if this is an amended rule, also summarize the rule's changes.**

This rule sets out auditing and hearing requirements to be followed by the Commission in evaluating the current coal research and development costs incurred by gas or natural gas companies.

9. **Does the rule incorporate material by reference? No**
10. **If the rule incorporates material by reference and the agency claims the material is exempt pursuant to R.C. 121.75, please explain the basis for the exemption and how an individual can find the referenced material.**

*Not Applicable*

11. **If revising or re-filing the rule, please indicate the changes made in the revised or re-filed version of the rule.**

*Not Applicable*

## **II. Fiscal Analysis**

12. **Please estimate the increase / decrease in the agency's revenues or expenditures in the current biennium due to this rule.**

This will have no impact on revenues or expenditures.

Not applicable.

Not applicable.

13. **What are the estimated costs of compliance for all persons and/or organizations directly affected by the rule?**

Not applicable.

14. **Does the rule increase local government costs? (If yes, you must complete an RSFA Part B). No**

15. **Does the rule regulate environmental protection? (If yes, you must complete an RSFA Part C). No**

16. **If the rule imposes a regulation fee, explain how the fee directly relates to your agency's cost in regulating the individual or business.**

Not applicable.

**III. Common Sense Initiative (CSI) Questions**

- 17. Was this rule filed with the Common Sense Initiative Office? Yes
- 18. Does this rule have an adverse impact on business? No
  - A. Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? No
  - B. Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? No
  - C. Does this rule require specific expenditures or the report of information as a condition of compliance? No
  - D. Is it likely that the rule will directly reduce the revenue or increase the expenses of the lines of business of which it will apply or applies? No

**IV. Regulatory Restriction Requirements under S.B. 9. Note: This section only applies to agencies described in R.C. 121.95(A).**

- 19. Are you adding a new or removing an existing regulatory restriction as defined in R.C. 121.95? Yes
  - A. How many new regulatory restrictions do you propose adding to this rule? 0
  - B. How many existing regulatory restrictions do you propose removing from this rule? 15
    - 4901:1-12-08(B) – Removes the time restriction on interested persons for filing comments on cost information.
    - 4901:1-12-08(B) – Removes the time restriction on gas companies for responding to comments by interested persons on cost information.
    - 4901:1-12-08(B) – Removes the time restriction on natural gas companies for responding to comments by interested persons on cost information.
    - 4901:1-12-08(B) – Removes the requirement that the Commission must determine the reasonableness of the total costs for recovery.

4901:1-12-08(B) - Removes the requirement that the Commission must determine the appropriateness of the period for cost recovery.

4901:1-12-08(D) - Removes the requirement that the Commission issue an order containing a summary of any audit findings.

4901:1-12-08(D) - Removes the requirement that the Commission issue an order containing a summary of any audit conclusions.

4901:1-12-08(D) - Removes the requirement that the Commission issue an order containing a summary of any audit recommendations.

4901:1-12-08(D) - Removes the requirement that the Commission issue an order containing any adjustments to be included in the semiannual Ohio coal research and development report.

4901:1-12-08(D) - Removes the requirement that the Commission issue an order containing any reconciliations to be included in the semiannual Ohio coal research and development report.

4901:1-12-08(D) - Removes the requirement that the adjustments and reconciliations be for the next billing period.

4901:1-12-08(D) - Removes the requirement that the Commission issue an order containing such other information as the commission considers appropriate.

4901:1-12-08(D) - Removes the requirement that the Commission issue an order containing such other directives as the commission considers appropriate.

4901:1-12-08(C) - Corrects an error that included a regulatory restriction that should not have been included in the original inventory of restrictions contained in this rule.

4901:1-12-08(C) - Corrects an error that included a regulatory restriction that should not have been included in the original inventory of restriction contained in this rule.

- C. If you are not removing existing regulatory restrictions from this rule, please list the rule number(s) from which you are removing restrictions.**

**D. Please justify the adoption of the new regulatory restriction(s).**

Not Applicable