

## Rule Summary and Fiscal Analysis

### Part A - General Questions

**Rule Number:** 4901:1-6-30

**Rule Type:** Rescission

**Rule Title/Tagline:** Company records and complaint procedures.

**Agency Name:** Public Utilities Commission of Ohio

**Division:** Utilities

**Address:** 180 East Broad Street Columbus OH 43215-3793

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#### I. Rule Summary

1. **Is this a five year rule review?** Yes
  - A. **What is the rule's five year review date?** 6/13/2023
2. **Is this rule the result of recent legislation?** No
3. **What statute is this rule being promulgated under?** 111.15
4. **What statute(s) grant rule writing authority?** 4901.13, 4927.03
5. **What statute(s) does the rule implement or amplify?** 4927.19
6. **Does the rule implement a federal law or rule in a manner that is more stringent or burdensome than the federal law or regulation requires?** No
  - A. **If so, what is the citation to the federal law or rule?** Not Applicable
7. **What are the reasons for proposing the rule?**

To comply with the requirements of R.C.121.95(A) for state agencies to reduce the total number of regulatory restrictions by thirty percent by June 30, 2025.
8. **Summarize the rule's content, and if this is an amended rule, also summarize the rule's changes.**

Establishes the obligations of telephone companies to maintain books and records and to provide such records for Commission Staff review. The amendments reduce the number of regulatory restrictions and add clarifying language.

9. **Does the rule incorporate material by reference? Yes**
10. **If the rule incorporates material by reference and the agency claims the material is exempt pursuant to R.C. 121.75, please explain the basis for the exemption and how an individual can find the referenced material.**

Exemption exists due to the incorporation by reference of either sections of the Ohio Revised Code, sections of the United States Code, rules in the Ohio Administrative Code, or a regulation in the Code of Federal Regulations.

11. **If revising or re-filing the rule, please indicate the changes made in the revised or re-filed version of the rule.**

*Not Applicable*

## **II. Fiscal Analysis**

12. **Please estimate the increase / decrease in the agency's revenues or expenditures in the current biennium due to this rule.**

This will have no impact on revenues or expenditures.

0

Not Applicable

13. **What are the estimated costs of compliance for all persons and/or organizations directly affected by the rule?**

Not Applicable.

14. **Does the rule increase local government costs? (If yes, you must complete an RSFA Part B). No**

15. **Does the rule regulate environmental protection? (If yes, you must complete an RSFA Part C). No**

16. **If the rule imposes a regulation fee, explain how the fee directly relates to your agency's cost in regulating the individual or business.**

Not Applicable

**III. Common Sense Initiative (CSI) Questions**

- 17. Was this rule filed with the Common Sense Initiative Office? Yes
- 18. Does this rule have an adverse impact on business? No
  - A. Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? No
  - B. Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? No
  - C. Does this rule require specific expenditures or the report of information as a condition of compliance? No
  - D. Is it likely that the rule will directly reduce the revenue or increase the expenses of the lines of business of which it will apply or applies? No

**IV. Regulatory Restriction Requirements under S.B. 9. Note: This section only applies to agencies described in R.C. 121.95(A).**

- 19. Are you adding a new or removing an existing regulatory restriction as defined in R.C. 121.95? Yes
  - A. How many new regulatory restrictions do you propose adding to this rule? 0
  - B. How many existing regulatory restrictions do you propose removing from this rule? 28

4901:1-6-30(A): Incorporates ten regulatory restrictions requiring a telephone company to make company records available for auditing or inspection by the Commission Staff.

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4901:1-6-30(A)(2): Incorporates one regulatory restriction requiring a telephone company to provide records in sufficient detail to permit review of the company's compliance with Commission's rules.

4901:1-6-30(A)(2): Incorporates two regulatory restrictions requiring a telephone company to provide data in a format specified by the Commission Staff.

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4901:1-6-30(B): Incorporates one regulatory restriction requiring a telephone company to permit the Commission to investigate the company's plant.

4901:1-6-30(B): Incorporates one regulatory restriction requiring a telephone company to permit the Commission's Staff to investigate the company's plant.

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4901:1-6-30(C): Incorporates one regulatory restriction requiring a telephone company to provide a company contact.

4901:1-6-30(C): Incorporates one regulatory restriction requiring a telephone company to respond to Commission inquiries in a reasonable manner.

4901:1-6-30(C): Incorporates one regulatory restriction requiring a telephone company to respond to consumer inquiries in a reasonable manner.

4901:1-6-30(C): Incorporates one regulatory restriction requiring a telephone company to respond to Commission inquiries in a timely manner.

4901:1-6-30(C): Incorporates one regulatory restriction requiring a telephone company to respond to consumer inquiries in a timely manner.

4901:1-6-30(C): Incorporates one regulatory restriction requiring a telephone company to respond to complaints in a reasonable manner.

4901:1-6-30(C): Incorporates one regulatory restriction requiring a telephone company to respond to complaints in a timely manner.

- C. If you are not removing existing regulatory restrictions from this rule, please list the rule number(s) from which you are removing restrictions.**
- D. Please justify the adoption of the new regulatory restriction(s).**

Not Applicable