

5101:2-36-07

PCSA requirement for conducting an assessment/investigation of the ~~Procedures for intervening in cases involving~~ alleged withholding of medically indicated treatment from a disabled ~~infants~~ infant with life-threatening conditions.

(A) ~~The public children services agency (PCSA) shall maintain all of the following:~~

- ~~(1) Current information regarding the name, address, and telephone number of each appropriate health care facility within its jurisdiction.~~
- ~~(2) The name, title, and telephone number of each facility's contact person for allegations involving alleged withholding of medically indicated treatment from disabled infants with life-threatening conditions, hereinafter referred to as alleged withholding.~~
- ~~(3) The name and chairperson of the appropriate health care facility's review committee, if such a committee exists.~~
- ~~(4) The PCSA's internal procedures outlined in the county child abuse and neglect memorandum of understanding for intervening in cases involving alleged withholding.~~

(A) The public children services agency (PCSA) shall conduct an assessment/investigation if a neglect report alleges the withholding of medically indicated treatment from a disabled infant with life-threatening condition(s).

- (1) The withholding of medically indicated treatment is the refusal to provide appropriate nutrition, hydration, medication or other medically indicated treatment from a disabled infant with a life-threatening condition.
- (2) Medically indicated treatment includes the medical care most likely to relieve, or correct, the life-threatening condition. Nutrition, hydration, and medication, as appropriate for the infant's needs, are medically indicated for all disabled infants; as well as, the completion of appropriate evaluations or consultations necessary to assure that sufficient information has been gathered to make informed medical decisions on behalf of the disabled infant.
- (3) In determining whether treatment is medically indicated, reasonable medical judgments made by a prudent physician, or treatment team, knowledgeable about the case and its treatment possibilities are considered. The opinions about the infant's future "quality of life" are not to bear on whether or not a treatment is judged to be medically indicated. Medically indicated treatment does not include the failure to provide treatment to a disabled infant if the treating physician's medical judgment identifies any of the following:
 - (a) The disabled infant is chronically and irreversibly comatose.
 - (b) The provision of the treatment is futile and will prolong dying.

(c) The provision of the treatment would not be effective in ameliorating or correcting all of the disabled infant's life threatening conditions.

(d) The provision of such treatment to the disabled infant is inhumane.

~~(B) When the PCSA screens in a child abuse and/or neglect report involving alleged withholding, the PCSA shall engage in the following activities within one hour of the screening decision:~~

~~(1) Contact the appropriate health care facility's administrator or designee, if not the original referent to obtain information regarding the condition of the infant.~~

~~(2) Review the information received from the referral source and the appropriate health care facility designee to determine if there is a need for initiation of an assessment/investigation. This evaluation should be done by the PCSA caseworker in conjunction with the supervisor and/or administrative staff.~~

(B) The PCSA shall initiate the screened in medical neglect report in accordance with the following:

(1) Complete face-to-face or telephone contact with the health care facility's administrator, or designee, within one hour from the time the referral was screened in as a report.

(2) Obtain the following information from the health care facility's administrator, or designee, regarding the current condition of the disabled infant, including but not limited to:

(a) The physical location of the disabled infant within the hospital, e.g., emergency room, neonatal intensive care unit, labor and delivery, sixth floor, etc.

(b) The disabled infant's age.

(c) The disabled infant's diagnoses or diagnosis and the prognosis.

(d) The problem requiring treatment.

(e) The immediate actions necessary to keep the disabled infant alive.

(f) Whether or not the withholding of life-sustaining treatment has been recommended.

(g) Whether or not the withholding of life-sustaining treatment has been implemented.

- (h) Whether or not the parent, guardian, or custodian has refused to consent to life-sustaining treatment.
- (i) Whether or not the hospital chose to sustain life-supporting care for the immediate future, preceding ninety-six hours from the date and time the PCSA initiated the report, while the assessment/investigation is underway.
- (j) Whether or not sustenance (food or water, whether given orally or through an intravenous or nasogastric tube) or medication is being denied.
- (C) The PCSA shall document in the case record the date, time, and with whom the assessment/investigation was initiated.
- ~~(C)~~(D) Depending on the particular case, the The PCSA may decide to seek shall involve the assistance of a qualified medical consultant within twenty-four hours from the time the referral was screened in as a report to assist in the evaluation of evaluate the disabled infant's child's medical information, including medical records, obtained during the preliminary medical assessment.
- (E) If the PCSA determines the child to be in immediate danger of serious harm, the PCSA shall follow procedures outlined in rule 5101:2-37-02 of the Administrative Code.
- (F) The PCSA shall pursue any legal remedies, including the initiation of legal proceedings in a court of competent jurisdiction, to provide medical care or treatment for a child when such care or treatment is necessary to prevent or remedy serious harm to the child or to prevent the withholding of medically indicated treatment from a disabled infant with a life-threatening condition.
- (G) The PCSA shall attempt a face-to-face contact in order to conduct an interview with the alleged child victim's parent, guardian, or custodian no later than twenty-four hours from the time the referral was screened in as a report. The purpose of the interview is to:

 - (1) Inform the parent, guardian, or custodian that a neglect report alleging the withholding of medically indicated treatment to a disabled infant has been accepted by the PCSA.
 - (2) Inform the parent guardian, or custodian of the purpose of the assessment/investigation.
 - (3) Seek parental consent for medically indicated treatment, if applicable.
 - (4) Confirm parent, guardian, or custodian's name and identifying information.

- (5) Determine if parent, guardian, or custodian agrees on the course of action to be followed.
 - (6) Determine if the parent, guardian, or custodian was presented with all treatment options by the medical treatment team.
 - (7) Determine if the prognosis of the disabled infant was presented to the parent, guardian, or custodian.
 - (8) Assess if the parent, guardian, or custodian understands the information provided by the medical treatment team.
 - (9) Determine the nature and degree of parental involvement in the decision to deny treatment or sustenance to the alleged child victim, if applicable.
 - (10) Assess if appropriate counseling services have been made available to the parent, guardian, or custodian.
 - (11) Refer the parent, guardian, or custodian to appropriate counseling services, if applicable.
 - (12) Determine if the parent, guardian, or custodian was provided information to facilitate access to available services for disabled persons and family members.
 - (13) Assist the parent, guardian, or custodian in accessing needed services, if applicable.
 - (14) Determine if the parent, guardian, or custodian participated in the hospital review process.
 - (15) Determine if the parent, guardian, or custodian was provided with or has access to the results of the hospital review process.
- (H) If the attempted face-to-face contact with the ACV's parent, guardian, or custodian as specified in paragraph (G) is unsuccessful, the PCSA shall continue making attempts of face-to-face contact at least every five working days until face-to-face contact occurs or until the PCSA is required to make a report disposition pursuant to paragraph (O) of this rule.
- (I) The PCSA shall complete the JFS 01401 "Comprehensive Assessment and Planning Model - I.S., Safety Assessment" (rev. 2/2006) pursuant to rule 5101:2-37-01 of the Administrative Code within four working days from the date the report was screened in.
- (J) If the JFS 01401 is completed with the alleged child victim and his or her caretaker,

who is not the child's custodian, the PCSA shall attempt to complete face-to-face contact with the alleged child victim's parent, guardian, or custodian no later than the next working day to inform that an assessment of safety of the child occurred.

(1) If unsuccessful, the PCSA shall attempt to complete face-to-face contact with the child's parent, guardian, or custodian once every five working days until contact is made or until the PCSA is required to make a report disposition pursuant to paragraph (O) of this rule.

(2) The PCSA shall document in the case record the date and time of the contact, or attempted contacts.

~~(D) When the PCSA screens in a child abuse and/or neglect report involving alleged withholding, the PCSA shall engage in the following activities within twenty-four hours of the screening decision:~~

~~(1) Provide verbal notification to the disabled infant's parent, guardian, or custodian that the PCSA received information alleging that a disabled infant with a life-threatening condition has not received medically indicated treatment.~~

~~(2) In cooperation with the medical consultant, if applicable, conduct interviews of the attending physician and health care facility staff to obtain all of the following information:~~

~~(a) The measures that the appropriate health care facility has taken to provide medically indicated treatment to a disabled infant.~~

~~(b) Whether the disabled infant's attending physician, with the consent of the disabled infant's parent, guardian, or custodian will sustain needed life-supporting care for twenty-four hours while the PCSA continues the assessment/investigation.~~

~~(c) The condition of and risk to the infant including:~~

~~(i) Whether the infant is chronically and irreversibly comatose.~~

~~(ii) Whether the provision of medical treatment would merely prolong dying, not be effective in ameliorating or correcting all of the disabled infant's life-threatening conditions, or otherwise be futile in terms of the survival of the disabled infant.~~

~~(iii) Whether the provision of medical treatment would be virtually futile in terms of the survival of the disabled infant and the treatment itself under such circumstances would be inhumane.~~

~~(d) Plans made to convene a meeting of the health care facility review committee or to adopt the recommendations of the appropriate health~~

~~care facility review committee, if a meeting was held.~~

~~(3) Pursue any legal remedies that may be necessary to prevent the withholding of medically indicated treatment from the disabled infant with life-threatening conditions.~~

(K) The PCSA shall, in cooperation with the medical consultant, conduct and document interviews with the attending physician and health care facility staff. The purpose of the interviews is to:

(1) Determine the measures that the health care facility staff attending to the disabled infant has taken to provide medically indicated treatment to the disabled infant.

(2) Determine whether or not the disabled infant's attending physician, with the consent of the disabled infant's parent, guardian or custodian will sustain needed life-supporting care for twenty-four hours while the PCSA continues the assessment/investigation.

(3) Determine if any of the following conditions of and risk to the disabled infant were concluded by the attending physician's and/or staff's assessment:

(a) The disabled infant is chronically and irreversibly comatose.

(b) The provision of medical treatment will merely prolong dying, not be effective in ameliorating or correcting all of the disabled infant's life-threatening conditions, or otherwise be futile in terms of the survival of the disabled infant.

(c) The provision of medical treatment will be virtually futile in terms of the survival of the disabled infant and the treatment itself under such circumstances will be inhumane.

(4) Verify if plans have been made to convene a meeting of the health care facility review committee or to adopt the recommendations of the appropriate health care facility review committee, and the meeting has been held.

(5) Confirm the disabled infant's age.

(6) Confirm the disabled infant's diagnoses or diagnosis.

(7) Determine if the disabled infant's life is endangered.

(8) Determine if the withholding of life-sustaining treatment is recommended.

(9) Determine if the withholding of life-sustaining treatment is implemented.

- (a) Identify the treatment necessary for the disabled infant's life or health being denied.
 - (b) Determine if sustenance (food or water, whether given orally or through an intravenous or nasogastric tube) or medication is being denied.
- (10) Determine if the parent, guardian, or custodian refused to consent to life-sustaining treatment.
- (11) Determine if the hospital will sustain life-supporting care for the immediate future while the PCSA's assessment/investigation is conducted, if applicable.
- (12) Identify the treatment or sustenance being provided to the disabled infant, if applicable.
- (13) Determine if there is consensus regarding the medical diagnoses among the treatment team.
- (14) Document and identify if there were/have been any differing opinions among the treatment team.
- (15) Document the names of all medical consultants involved including their qualifications/credentials.
- (16) Determine which members of the treatment team discussed the case with the parent, guardian, or custodian.
- (17) Determine if a hospital review process occurred.
 - (a) If applicable, document the review process.
 - (b) If applicable, document the recommendations.
- (L) The PCSA shall conduct and document all face-to-face interviews with the alleged perpetrator, unless law enforcement or the county prosecutor or medical consultant will interview the alleged perpetrator pursuant to the procedures delineated in the county child abuse and neglect memorandum of understanding, in order to assess his or her knowledge of the allegation.
- (M) The PCSA shall advise the alleged perpetrator of the allegations made against him or her at the time of the initial contact. The initial contact between the PCSA and the alleged perpetrator of the report includes the first face-to-face or telephone contact, whichever occurs first, if information is gathered as part of the assessment/investigation process.
- (N) The PCSA shall conduct and document face-to-face or telephone interviews with any

- person identified as a possible source of information during the assessment/investigation to obtain relevant information regarding the safety of and risk to the child.
- (1) The PCSA shall exercise discretion in the selection of collateral sources to protect the family or out-of-home care setting's right to privacy.
- (2) The PCSA shall protect the confidentiality of the principals, people shall not be randomly interviewed.
- (3) The PCSA shall conduct interviews of additional staff who may have information relevant to the investigation.
- (O) The PCSA shall complete the report disposition and arrive at a final case decision by completing the JFS 01400 "Comprehensive Assessment and Planning Model - I.S., Family Assessment" (rev. 7/2006) no later than thirty days from the date the PCSA screened in the referral as a child abuse and/or neglect report. The PCSA may extend the time frame by a maximum of fifteen days if information needed to determine the report disposition and final case decision cannot be obtained within thirty days and the reasons are documented in the case record pursuant to rule 5101:2-36-11 of the Administrative Code.
- (P) The PCSA shall not waive the completion of the report disposition.
- (Q) The PCSA shall have an interpreter present for all interviews if the PCSA has determined that a principal of the report has a language or any other impairment that causes a barrier in communication, including but not limited to a principal of the report who is deaf or hearing impaired, limited English proficiency or is developmentally delayed.
- (R) Within two working days of completion of the assessment/investigation, the PCSA shall notify the alleged perpetrator in writing of the report disposition and the right to appeal the disposition pursuant to rule 5101:2-33-20 of the Administrative Code.
- (S) Within two working days from the date of the completion of the report disposition, the PCSA shall notify the alleged child victim's parent(s) in writing of the report disposition and case decision.
- (T) No later than three working days from the date of the completion of the report disposition, the PCSA shall provide written notification of the report disposition to the following entities, as applicable, in accordance with rules 5101:2-33-21 and 5101:2-36-12 of the Administrative Code.
- (1) Administrator, director, or other chief administrator of the health care facility.
- (2) The owner or governing board of the health care facility.

- (3) The appropriate licensing and supervising authorities of the health care facility.
- (U) The PCSA shall notify law enforcement if it is determined that the attending physician failed to provide medically indicated treatment or failed to inform the alleged child victim's parent, guardian, or custodian of the available treatment options.
- ~~(E) In cooperation with the medical consultant, if applicable, the PCSA shall review the disabled infant's medical records, if indicated.~~
- ~~(F) If the disabled infant is receiving medically indicated treatment with or without court intervention and there is sufficient documentation that the treatment will continue to be provided, the PCSA shall terminate assessment/investigative activities.~~
- ~~(G) If after reviewing the information obtained from the appropriate health care facility, a determination is made that the disabled infant is a neglected child as defined in division (C) of section 2151.03 of the Revised Code due to the withholding of medically indicated treatment, then the PCSA shall immediately:~~
- ~~(1) Conduct face-to-face interviews with the infant's parent, guardian, or custodian to discuss the purpose of the assessment/investigation, complete an assessment/investigation pursuant to rule 5101:2-36-03 of the Administrative Code, and seek consent to provide medically indicated treatment.~~
 - ~~(2) If consent is not obtained, request assistance from the county prosecutor or the city director of law in order to obtain an emergency court order granting authorization to provide medical care and treatment.~~
- ~~(H)~~(V) Within No later than fifteen calendar days from the completion date of the assessment/investigation report disposition and case decision, the PCSA shall notify submit a written summary to the Ohio department of job and family services (ODJFS) that a neglect report involving a disabled infant was assessed/investigated. The notification shall include the intake identification number established within the statewide automated child welfare information system (SACWIS). The summary shall include all of the following:
- ~~(1) The date the allegation was received.~~
 - ~~(2) The allegations.~~
 - ~~(3) The names of the principals of the case, their dates of birth, their sex, and their relationship with each other.~~
 - ~~(4) The names of the persons interviewed, the dates interviewed, and a summary of the interviews.~~

- ~~(5) A copy of the medical consultant's findings, if applicable.~~
 - ~~(6) A copy of the appropriate health care facility review committee's report, if one exists and the committee met.~~
 - ~~(7) The date the assessment/investigation was completed.~~
 - ~~(8) The report disposition.~~
 - ~~(9) The agency's plan for provision of services.~~
- (W) The PCSA shall maintain all materials obtained as a result of the assessment/investigation in the case record.
- ~~(I) The PCSA shall monitor the case through regular contact with the appropriate health care facility designee to determine whether the medically indicated treatment is being provided. The prosecuting attorney or city director of law shall immediately be notified when there is failure to provide care and treatment to the disabled infant.~~
- ~~(J) The PCSA shall assist the parent, guardian, or custodian in contacting agencies that provide services for infants with disabilities and their families including agencies that provide financial support or reimbursement of costs for medical and rehabilitative services.~~
- ~~(K) The PCSA shall record all activities performed in compliance with this rule in the case record.~~

Effective: 10/01/2009

R.C. 119.032 review dates: 03/01/2011

CERTIFIED ELECTRONICALLY

Certification

08/21/2009

Date

Promulgated Under: 119.03
Statutory Authority: 2151.421
Rule Amplifies: 2151.421
Prior Effective Dates: 4/1/86 (Emer.), 6/19/86 (Emer.), 8/1/86, 1/1/89,
10/1/95, 1/1/00, 4/1/01, 3/01/06