ACTION: Refiled

DATE: 01/06/2006 2:53 PM

Rule Summary and Fiscal Analysis (Part A)

Department of Job and Family Services

Agency Name

<u>Division of Medical Assistance</u> <u>Mike Lynch</u>

Division Contact

<u>30 E. Broad St., 31st Floor ODJFS, Office of Legal</u> <u>466-4605</u> <u>752-8298</u>

Services Columbus OH 43215-3414

Agency Mailing Address (Plus Zip) Phone Fax

5101:3-3-15.1 NEW

Rule Number TYPE of rule filing

Rule Title/Tag Line Preadmission screening (PAS) requirements for individuals

seeking admission to nursing facilities (NFs) and/or PASSPORT home and community-based services (HCBS)

waiver enrollment.

RULE SUMMARY

- 1. Is the rule being filed consistent with the requirements of the RC 119.032 review? N_0
- 2. Are you proposing this rule as a result of recent legislation? No
- 3. Statute prescribing the procedure in accordance with the agency is required to adopt the rule: 119.03
- 4. Statute(s) authorizing agency to adopt the rule: 5111.02, 5111.204
- 5. Statute(s) the rule, as filed, amplifies or implements: **5111.01**, **5101.02**, **5111.202**, **5111.204**
- 6. State the reason(s) for proposing (i.e., why are you filing,) this rule:

This rules is being filed in accordance with the five year rule review process and is replacing recinded rule 5101:3-3-151.

7. If the rule is an AMENDMENT, then summarize the changes and the content of the proposed rule; If the rule type is RESCISSION, NEW or NO CHANGE,

Page 2 Rule Number: 5101:3-3-15.1

then summarize the content of the rule:

This rule sets forth the Preadmission Screening (PAS) requirements which must be met prior to any new admission to a Nursing Facility (NF) or enrollment in the PASSPORT home and community based services (HCBS) waiver. Changes from the previous version of this rule are related to revisions to the definitions section located in paragraph (B) which include changes to inpatient psychiatric stays, new admission parameters, convalescent stays, current diagnoses, and the definition of physician. In addition, multiple changes to the process are found throught the rules as is language meant to clarify current process and practice.

8. If the rule incorporates a text or other material by reference and the agency claims the incorporation by reference is exempt from compliance with sections 121.71 to 121.74 of the Revised Code because the text or other material is **generally available** to persons who reasonably can be expected to be affected by the rule, provide an explanation of how the text or other material is generally available to those persons:

This rule incorporates one or more references to the Social Security Act. This question is not applicable to any incorporation by reference to the Social Security Act because such reference is exempt from copliance with ORC 121.71 to 121.74 pursuant to ORC 121.76(B)(2).

This rule incorporates one or more references to another rule or rules of the Ohio Administrative Code. This question is not applicable to any incorporation by reference to the Social Security Act because such reference is exempt from copliance with ORC 121.71 to 121.74 pursuant to ORC 121.76(B)(2).

This rule incorporates one or more references to the Ohio Revised Code. This question is not applicable to any incorporation by reference to the Social Security Act because such reference is exempt from copliance with ORC 121.71 to 121.74 pursuant to ORC 121.76(B)(2).

This rule incorporates one or more dated references to the Code of Federal Regulations (CFR). This question is not applicable to any dated incorporation by reference to the CFR because such reference is exempt from compliance with ORC 121.71 to 121.74 in accordance with ORC 121.75(D).

This rule incorporates one or more dated references to generally accepted industry standards. This question is not applicable to any incorporation by reference to any generally accepted industry standards because such reference is exempt from compliance with ORC 121.71 to 121.74 pursuant to ORC 121.75 (E).

This rule incorporates one or more dated references to an ODJFS form or forms. Each cited ODJFS form is dated and is generally available to persons affected by this rule via the "Info Center" link on the ODJFS web site (http://jfs.ohio.gov//) in

Page 3 Rule Number: 5101:3-3-15.1

accordance with ORC 121.75(E).

9. If the rule incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material electronically, provide an explanation of why filing the text or other material electronically was infeasible:

N/A

10. If the rule is being **rescinded** and incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material, provide an explanation of why filing the text or other material was infeasible:

Not Applicable.

11. If **revising** or **refiling** this rule, identify changes made from the previously filed version of this rule; if none, please state so:

The definition of "convalescent stay" in B(4)(a) has been changed to require that new admissions seeking the convalescent exemption be coming from Ohio Hospitals or be Ohio residents.

The statutory authority for the rules has been corrected.

The prior effective dates of this rule have been updated and corrected.

12. 119.032 Rule Review Date:

(If the rule is not exempt and you answered NO to question No. 1, provide the scheduled review date. If you answered YES to No. 1, the review date for this rule is the filing date.)

NOTE: If the rule is not exempt at the time of final filing, two dates are required: the current review date plus a date not to exceed 5 years from the effective date for Amended rules or a date not to exceed 5 years from the review date for No Change rules.

FISCAL ANALYSIS

13. Estimate the total amount by which *this proposed rule* would **increase / decrease** either **revenues / expenditures** for the agency during the current biennium (in dollars): Explain the net impact of the proposed changes to the budget of your agency/department.

Page 4 Rule Number: 5101:3-3-15.1

This will have no impact on revenues or expenditures.

0.0

This rule is not expected to increase or decrease revenues or expenditures for ODJFS.

14. Identify the appropriation (by line item etc.) that authorizes each expenditure necessitated by the proposed rule:

N/A

15. Provide a summary of the estimated cost of compliance with the rule to all directly affected persons. When appropriate, please include the source for your information/estimated costs, e.g. industry, CFR, internal/agency:

The industry may experience a cost of compliance if the facility fails to complete the preadmission process timely. Late detection of a facility's failure to complete the preadmission process in compliance with federal regulations and state rules results in facility liability until it is completed. Facility costs may range from \$150 to \$30,000 per incident according to the industry.

- 16. Does this rule have a fiscal effect on school districts, counties, townships, or municipal corporations? N_0
- 17. Does this rule deal with environmental protection or contain a component dealing with environmental protection as defined in R. C. 121.39? **No**