Rule Summary and Fiscal Analysis (Part A)

Department of Mental Health and Addiction Services

Agency Name

Howard Henry

Division

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5122-2-04

Rule Number TYPE of rule filing

Client complaint and grievance procedure for regional Rule Title/Tag Line

psychiatric hospital inpatient services.

RULE SUMMARY

- 1. Is the rule being filed for five year review (FYR)? No
- 2. Are you proposing this rule as a result of recent legislation? No
- 3. Statute prescribing the procedure in accordance with the agency is required

4. Statute(s) authorizing agency to adopt the rule: 5122.33

to adopt the rule: 119.03

Statute(s) the rule, as filed, amplifies or implements: 5122.29

6. State the reason(s) for proposing (i.e., why are you filing,) this rule:

This rule is being substantially revised to meet with Centers for Medicare and Medicaid Services (CMS) guidelines regarding patient's ability to file grievances.

7. If the rule is an AMENDMENT, then summarize the changes and the content of the proposed rule; If the rule type is RESCISSION, NEW or NO CHANGE, then summarize the content of the rule:

A CMS review concluded that the existing rule did not allow for enough

Page 2 Rule Number: 5122-2-04

opportunity for patients to file grievances at Department hospitals. As part of the review of the rule, the Department determined that there was no statutory requirement to have the details of the patient compliant and grievance process in rule. As a result, the Department is moving revising the process to require a Department level policy which can be modified at each hospital to meet local needs. The local policy must be approved by each hospital's governing body, the Department's medical director, and the Department's client rights specialist.

8. If the rule incorporates a text or other material by reference and the agency claims the incorporation by reference is exempt from compliance with sections 121.71 to 121.74 of the Revised Code because the text or other material is **generally available** to persons who reasonably can be expected to be affected by the rule, provide an explanation of how the text or other material is generally available to those persons:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

9. If the rule incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material electronically, provide an explanation of why filing the text or other material electronically was infeasible:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

10. If the rule is being **rescinded** and incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material, provide an explanation of why filing the text or other material was infeasible:

Not Applicable.

11. If **revising** or **refiling** this rule, identify changes made from the previously filed version of this rule; if none, please state so. If applicable, indicate each specific paragraph of the rule that has been modified:

Not Applicable.

12. Five Year Review (FYR) Date:

(If the rule is not exempt and you answered NO to question No. 1, provide the scheduled review date. If you answered YES to No. 1, the review date for this rule is the filing date.)

Page 3 Rule Number: 5122-2-04

NOTE: If the rule is not exempt at the time of final filing, two dates are required: the current review date plus a date not to exceed 5 years from the effective date for Amended rules or a date not to exceed 5 years from the review date for No Change rules.

FISCAL ANALYSIS

13. Estimate the total amount by which *this proposed rule* would **increase / decrease** either **revenues / expenditures** for the agency during the current biennium (in dollars): Explain the net impact of the proposed changes to the budget of your agency/department.

This will have no impact on revenues or expenditures.

0.0

There is no impact on revenues or expenditures.

14. Identify the appropriation (by line item etc.) that authorizes each expenditure necessitated by the proposed rule:

Not applicable.

15. Provide a summary of the estimated cost of compliance with the rule to all directly affected persons. When appropriate, please include the source for your information/estimated costs, e.g. industry, CFR, internal/agency:

No cost of compliance.

- 16. Does this rule have a fiscal effect on school districts, counties, townships, or municipal corporations? N_0
- 17. Does this rule deal with environmental protection or contain a component dealing with environmental protection as defined in R. C. 121.39? **No**

S.B. 2 (129th General Assembly) Questions

- 18. Has this rule been filed with the Common Sense Initiative Office pursuant to R.C. 121.82? **No**
- 19. Specific to this rule, answer the following:

Page 4 Rule Number: 5122-2-04

A.) Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? N_0

This is a rule for Department hospitals, although it impacts individual rights. No business is impacted.

- B.) Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? N_0
- C.) Does this rule require specific expenditures or the report of information as a condition of compliance? N_0