

173-39-02.20

ODA provider certification: enhanced community living.

(A) Definitions for this rule:

(1) "Enhanced community living" (ECL) means a service promoting aging in place, in multi-family affordable housing, through access to on-site, individually-tailored, health-related, and supportive interventions for individuals who have functional deficits resulting from one or more chronic health conditions.

(a) ECL includes the following activities:

(i) The establishment of measurable health goals.

(ii) The identification of modifiable healthcare risks.

(iii) The provision of regular health-status monitoring interventions. "Health-status monitoring interventions" mean taking and recording vital signs, weight, nutrition, and hydration statuses.

(iv) Assistance with accessing additional allied health services.

(v) The provision of, or arrangement for, education on self-managing chronic diseases or chronic health conditions.

(vi) Daily wellness checks. "Daily wellness check" means an activity of ECL through which a ~~direct-service staff member PCA has face-to-face contact with the individual to observe~~ observes any changes in the individual's level of functioning and determine what, if any, modifications to the activity plan are needed.

(vii) Access to planned and intermittent personal care under rule 173-39-02.11 of the Administrative Code, excluding respite care.

(viii) Activities to assist an individual who is returning home following a hospital or nursing facility stay.

(b) ECL does not include activities provided while the individual is receiving a similar service under this chapter.

(2) "Chronic health condition" means a condition that lasts twelve months or longer and meets one or both of the following tests:

(a) It places limitation on self-care, independent living, and social interactions.

- (b) It results in the need for ongoing intervention with medical services, products, and equipment.
 - (3) "Intermittent" means stopping and starting at intervals; pausing from time to time; periodic, not pre-determined designated time periods (e.g., ten a.m. to eleven a.m.) or for designated lengths of time (e.g., fifteen minutes or two hours).
 - (4) "Multi-family affordable housing" means a housing site meeting all of the following requirements:
 - (a) The housing site uses a landlord-tenant rental agreement that complies with Chapter ~~5321~~-5321 of the Revised Code.
 - (b) The housing site provides a minimum of six units of housing under one roof.
 - (c) The housing site receives assistance through one of the following programs:
 - (i) Federally-assisted housing program under 24 C.F.R. Part 5.
 - (ii) Project-based voucher program under 24 C.F.R. Part 983.
 - (iii) Low-income housing tax credit program based on Section 42 of the Internal Revenue Code.
 - (5) "Person-centered activity" means an activity directed by the individual's informed choices that is offered at the time and place most preferable to the individual, in a safe and unhurried manner, and in a way that honors the individual's individuality and preferences.
- (B) Every ODA-certified ECL provider shall comply with the following requirements:
- (1) General requirements: The provider shall comply with the requirements for every ODA-certified provider in rule 173-39-02 of the Administrative Code.
 - (2) Person-centered activity plan:
 - (a) Development: Before the provider provides the initial episode of ECL to an individual, the ~~direct-service staff~~ PCA supervisor shall:
 - (i) Assess the individual's health goals, modifiable health risks, and planned and anticipated intermittent personal care needs; and,
 - (ii) Develop a person-centered activity plan with the individual that describes the interventions the individual has chosen to reach his or her identified health goals, to minimize his or her modifiable health

risks, and to meet his or her planned and anticipated intermittent personal care needs. The provider shall obtain ~~the individual's signature~~ a unique identifier of the individual to verify that the individual was involved in the development of his or her person-centered activity plan.

(b) Regular monitoring: After the individual begins to receive ECL, the ~~direct-service staff~~ PCA supervisor shall do both of the following:

- (i) Revise the person-centered activity plan in fewer than five days after each hospital or nursing facility stay, and as otherwise needed to reflect changes in the individual's status, condition, preferences, and response to ECL; ~~and,~~
- (ii) Facilitate an in-person review of the person-centered activity plan with the individual, the primary team, the individual's case manager, the individual's caregiver (if the individual has a caregiver), and the housing site's service coordinator (if the housing site has a service coordinator) every sixty days to evaluate the effectiveness of the plan in addressing the individual's health goals, reducing modifiable risks, and meeting planned and anticipated intermittent personal care needs.

(c) Records-retention: The provider shall retain a record of the person-centered activity plan, including any revisions to the person-centered activity plan and the in-person review of the person-centered activity plan:

- ~~(i) Any revisions to the person-centered activity plan; and,~~
- ~~(ii) The in-person review of the person-centered activity plan.~~

(3) Staffing levels:

- (a) The provider shall maintain adequate staffing levels to provide each ECL activity.
- (b) The PCA supervisor shall maintain accessibility to respond to ~~individual's~~ individuals' emergencies in the housing site during any time that a ~~staff member~~ PCA is providing ECL to an individual in the housing site.
- (c) The provider shall maintain adequate staffing levels to provide ~~face-to-face,~~ person-centered ECL seven days a week for a minimum of six hours a day.

~~(d) The provider shall maintain adequate staffing levels to provide each ECL activity.~~

~~(e)~~(d) During each hour the provider has a ~~staff member~~ PCA providing ECL to an individual in a housing site, the provider shall ensure that any other individual has a mechanism to contact a ~~direct service staff member~~ PCA to request assistance with intermittent and unplanned personal care needs related to the measurable health goals and modifiable healthcare risks described in the individual's activity plan.

~~(f)~~(e) Each day, the provider shall provide adequate staffing levels of on-site staff members PCAs for no fewer than six hours (or, twenty-four units) to meet the individuals' assessed, intermittent, and unscheduled healthcare needs.

~~(g)~~(f) The ~~provider~~ PCA supervisor or another RN (or LPN under the direction of an RN) shall ~~provide an RN to~~ monitor the health status of individuals. ~~In doing so, the provider shall schedule adequate on-site nursing staff for no fewer than three hours (or, twelve units) each week.~~

(4) Provider qualifications:

(a) Type of provider: A provider shall only provide ECL if both of the following conditions are met:

(i) ~~The provider is an agency~~ ODA certifies the provider as an agency provider ~~to provide of both~~ personal care and ECL.

(ii) The provider is a legal entity distinct from the housing site owner and property manager so the site is not subject to licensure, as defined in Chapters ~~3721-3721~~ and ~~5119-5119~~ of the Revised Code, and safeguards are in place to prevent any unremedied conflicts of interest.

(b) Staff designations and minimum staff qualifications:

(i) ~~Direct service staff~~ PCA supervisor: The provider shall only employ a person as a PCA supervisor if the person ~~is currently licensed as an RN~~ if the person meets the qualifications in paragraph (C)(4)(a) of rule 173-39-02.11 of the Administrative Code.

(ii) ~~Direct service staff:~~ PCAs: The provider shall only employ a person ~~to provide face-to-face activities to individuals if the provider received evidence, and retains evidence, that the~~

~~person successfully completed an ODA-approved home health aide training program listed on <http://aging.ohio.gov/services/homehealthtraining> serve as a PCA if the person meets at least one of the qualifications under paragraph (C)(3)(a) of rule 173-39-02.11 of the Administrative Code , the training and competency evaluation meet the standards under paragraph (C)(3)(e) of rule 173-39-02.11 of the Administrative Code, and the provider meets the verification requirements under paragraph (C)(3)(f) of rule 173-39-02.11 of the Administrative Code.~~

- (iii) Primary team: The provider shall provide ~~face-to-face, person-centered~~ activities to individuals through a primary team that consists of ~~direct-service staff members~~ PCAs and ~~RNs~~ PCA supervisors who regularly provide activities within a given housing site and, as a result, are familiar with the individuals in the housing site. The provider shall replace any PCA on the primary staff member team who is absent with a back-up staff member PCA who is familiar with the housing site and the individuals residing in the housing site. ~~An RN-A PCA supervisor shall supervise the primary team and also any back-up direct-service staff members~~ PCAs.

(c) Staff training:

~~(i) Orientation: Before allowing any staff member to provide ECL:~~

~~(a)(i) Before allowing any PCA to have direct, in-person contact with an individual, The the provider shall train the staff member in areas that include, but are not limited to, the following: comply with the orientation requirements in paragraphs (C)(3)(b), (C)(3)(e), and (C)(3)(f) of rule 173-39-02.11 of the Administrative Code.~~

~~(i) The provider's expectations of employees.~~

~~(ii) The provider's ethical standards, as required under rule 173-39-02 of the Administrative Code.~~

~~(iii) An overview of the provider's personnel policies.~~

~~(iv) The organization and lines of communication of the provider's agency.~~

~~(v) Incident reporting procedures.~~

~~(vi) Emergency procedures.~~

~~(b) An RN shall provide a basic overview of the chronic disease(s) or chronic health condition(s) specific to the individuals being served, the principles of self-managing chronic diseases or chronic health conditions, and a individual's right to assume responsibility for his or her own healthcare decisions.~~

~~(c) The provider shall orient the staff member with the housing site and introduce to the individuals he or she will serve.~~

(ii) ~~Continuing education: In-service training: Each direct service staff person of the provider PCA shall complete at least eight hours of comply with the in-service continuing education every twelve months, excluding agency and program-specific orientations training requirements in paragraphs (C)(3)(d), (C)(3)(e), and (C)(3)(f) of rule 173-39-02.11 of the Administrative Code.~~

(5) Service verification:

- (a) The provider shall develop and retain a daily activity record for each individual that includes all of the following:
- (i) Individual's name.
 - (ii) Date of service.
 - (iii) Activities provided as authorized in the person-centered activity plan.
 - (iv) Activities provided in response to daily, intermittent needs.
 - (v) Description of the individual's status and response to the activities provided.
 - (vi) Total number of units provided to the individual.
 - (vii) Name and signature of the provider's staff person who provided the activities.
 - (viii) ~~Individual's handwritten signature or initials; stamp; mark; or electronic signature~~ Unique identifier of the individual, which by offering the individual attests to receiving the activities.
- (b) The provider may use ~~a technology-based~~ an electronic system to collect or retain the records required under this rule.

(C) Unit and rates:

- (1) One unit of ECL is ~~equal to fifteen minutes of ECL.~~
- (2) ~~The maximum rates allowable for a unit of ECL are established in Appendix A~~
The appendix to rule 5160-1-06.1 of the Administrative Code establishes the maximum rate allowable for one unit of ECL.
- (3) ~~The payment rates are subject to the rate-setting methodology in rule~~
Rule 5160-31-07 of the Administrative Code establishes the rate-setting methodology for the ECL provided through the PASSPORT program.

Effective: 4/4/2022
Five Year Review (FYR) Dates: 1/18/2022 and 04/02/2027

CERTIFIED ELECTRONICALLY

Certification

03/25/2022

Date

Promulgated Under: 119.03
Statutory Authority: 121.07, 173.01, 173.02, 173.391, 173.52, 173.522,
Rule Amplifies: 173.39, 173.391, 173.52, 173.522; 42 CFR 441.352
Prior Effective Dates: 03/17/2011, 11/01/2017