# Rule Summary and Fiscal Analysis (Part A)

#### **Department of Aging**

Agency Name

**Tom Simmons** 

Contact

Division

50 West Broad Street 9th floor Columbus OH 614-728-2548

43215-3363

Agency Mailing Address (Plus Zip) Phone Fax

tsimmons@age.ohio.gov

Email

**173-45-09 AMENDMENT** 

Rule Number TYPE of rule filing

Rule Title/Tag Line <u>Fees.</u>

# **RULE SUMMARY**

- 1. Is the rule being filed for five year review (FYR)? Yes
- 2. Are you proposing this rule as a result of recent legislation? Yes

Bill Number: **HB64** General Assembly: **131** Sponsor: **Smith** 

3. Statute prescribing the procedure in accordance with the agency is required

to adopt the rule: 119.03

- 4. Statute(s) authorizing agency to adopt the rule: 173.01, 173.02, 173.49; 42 USC 1396a(a)(9)(D)
- 5. Statute(s) the rule, as filed, amplifies or implements: 173.48; 42 USC 1396a(a)(9)(D)
- 6. State the reason(s) for proposing (i.e., why are you filing,) this rule:

Chapter 173-45 of the Administrative Code regulates the Long-Term Care Consumer Guide (Guide). ODA proposes to amend this rule as part of its review of Chapter 173-45 of the Administrative Code, which satisfies the requirements for ODA to review the chapter no less often than every 5 years.

Page 2 Rule Number: 173-45-09

Am. Sub. H.B. No. 64 (131st General Assembly) increased the fee for residential care facilities from \$300 per year to \$350 per year beginning on July 1, 2016. Therefore, ODA also proposes to implement the fee increase into this rule.

7. If the rule is an AMENDMENT, then summarize the changes and the content of the proposed rule; If the rule type is RESCISSION, NEW or NO CHANGE, then summarize the content of the rule:

The rule implements the fee requirements for the Guide.

ODA proposes to implement HB64's fee increase into this rule.

8. If the rule incorporates a text or other material by reference and the agency claims the incorporation by reference is exempt from compliance with sections 121.71 to 121.74 of the Revised Code because the text or other material is **generally available** to persons who reasonably can be expected to be affected by the rule, provide an explanation of how the text or other material is generally available to those persons:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

9. If the rule incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material electronically, provide an explanation of why filing the text or other material electronically was infeasible:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

10. If the rule is being **rescinded** and incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material, provide an explanation of why filing the text or other material was infeasible:

*Not Applicable.* 

11. If **revising** or **refiling** this rule, identify changes made from the previously filed version of this rule; if none, please state so. If applicable, indicate each specific paragraph of the rule that has been modified:

On September 25, ODA made a revised filing to upload a new public hearing notice. In doing so, ODA changed the hearing location from room 1A to room

Page 3 Rule Number: 173-45-09

1149.

12. Five Year Review (FYR) Date: 9/3/2015

(If the rule is not exempt and you answered NO to question No. 1, provide the scheduled review date. If you answered YES to No. 1, the review date for this rule is the filing date.)

NOTE: If the rule is not exempt at the time of final filing, two dates are required: the current review date plus a date not to exceed 5 years from the effective date for Amended rules or a date not to exceed 5 years from the review date for No Change rules.

# FISCAL ANALYSIS

13. Estimate the total amount by which *this proposed rule* would **increase / decrease** either **revenues / expenditures** for the agency during the current biennium (in dollars): Explain the net impact of the proposed changes to the budget of your agency/department.

This will have no impact on revenues or expenditures.

\$0.00

In H.B.64 (131st G.A.), the Ohio General Assembly established (1) a fee increase for residential care facilities and (2) ODA's biennial budget. Because the fee increase and ODA's budget were a creation of the same piece of legislation, ODA anticipates that ODA's proposed rule amendment to implement the fee increase would have no impact upon the biennial budget that the Ohio General Assembly established for ODA.

14. Identify the appropriation (by line item etc.) that authorizes each expenditure necessitated by the proposed rule:

5K90-490-613 Long-Term Care Consumer Guide.

15. Provide a summary of the estimated cost of compliance with the rule to all directly affected persons. When appropriate, please include the source for your information/estimated costs, e.g. industry, CFR, internal/agency:

ODA estimates that the cost of complying with this rule is for nursing facilities and residential care facilities to pay fees. Nursing facilities must to pay \$650/year to be published in the Guide. Currently, residential care facilities must pay \$300/year to be in the Guide. Pursuant to HB64, beginning on July 1, 2016, residential care

Page 4 Rule Number: 173-45-09

facilities must pay \$350 to be published in the Guide.

As stated in the business impact analysis, the facilities listed in the Guide benefit from being published in the Guide, which is the state's only one-stop, public, searchable database of such facilities. The Guide provides facilities with opportunities to highlight their specialized services, policies, staffing levels, quality, and bed capacities. The Guide is sort of a "matchmaker." It helps consumers with special healthcare needs who are shopping for a nursing facility to choose a facility based on the knowledge that some facilities offer specialized services that correspond to their special healthcare needs.

- 16. Does this rule have a fiscal effect on school districts, counties, townships, or municipal corporations?  $N_0$
- 17. Does this rule deal with environmental protection or contain a component dealing with environmental protection as defined in R. C. 121.39? **No**

# S.B. 2 (129th General Assembly) Questions

- 18. Has this rule been filed with the Common Sense Initiative Office pursuant to R.C. 121.82? Yes
- 19. Specific to this rule, answer the following:
- A.) Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business?  $N_0$
- B.) Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? Yes

The rule requires ODA to certify to the Ohio Attorney General the amount of any payment it does not receive from a facility in fewer than forty-five days after the date on which ODA mailed the billing statement to the facility.

C.) Does this rule require specific expenditures or the report of information as a condition of compliance? Yes

The rule implements the fee requirements of Section 173.48 of the Revised Code.