

3772-10-08

Procedures for monitoring and reviewing game operations.

(A) Each casino operator shall establish internal controls for monitoring and reviewing table game and electronic gaming equipment operations, which shall include:.

(1) Procedures for the preparation of a monthly forecast of expected results by table game type, including expected total game revenue and game hold;

(2) Procedures for preparation of a monthly forecast of expected results of electronic gaming equipment operations, including expected total electronic gaming equipment revenue and average hold; and

(3) Procedures for documenting, investigating, and resolving deviations of more than four percent between the forecasted amounts and the actual results. The procedures shall include, but are not limited to:

(a) Conducting and documenting the investigation; and

(b) Notification to the commission of the investigation results within twenty-one days following the end of the month.

(B) Each casino operator shall establish internal controls for monitoring and reviewing electronic gaming equipment operation for equipment which converts cash or cash equivalents into wagering instruments. These procedures shall include:

(1) Procedures for recording electronic gaming equipment meters. Electronic gaming equipment meters shall be recorded for each drop and immediately prior to being reset;

(2) Procedures for the review of recorded electronic gaming equipment meters, including the name of the department conducting the review and the parameters used to determine the reasonableness of the recorded meters;

(3) Procedures for the alteration of recorded electronic gaming equipment meters, including the positions authorized to perform the alteration and the documentation maintained to support the alteration;

(4) Procedures for the comparison of each electronic gaming equipment's recorded meters with the actual drop amount. The comparison shall be performed for each drop;

(5) Procedures for documenting, investigating, and resolving inconsistencies encountered while comparing each electronic gaming equipment's recorded meters with the actual drop amounts. The procedures shall include, but are not limited to:

(a) The variance threshold(s) that indicate an investigation is necessary. The commission shall be notified of variances requiring investigation within

one week of the variance discovery;

(b) The procedures for conducting and documenting the investigation. The procedures should include a comparison of metered cash and voucher transactions with cash and vouchers from the drop; and

(c) Notification to the commission of the investigation results upon completion;

(6) Procedures for the monthly review of electronic gaming equipment performance. The review shall consist of a month-to-date, year-to-date, and life-to-date comparison of the theoretical payout percentage and the actual payout percentage for each electronic gaming device. The comparisons shall be done on a per payable basis, unless otherwise approved by the commission; and

(7) Procedures for documenting, investigating, and resolving deviations of more than four percent while reviewing electronic gaming equipment performance. The procedures shall include, but are not limited to:

(a) Notification of the electronic gaming equipment requiring investigation to the commission within one week of the deviation discovery;

(b) The procedures for conducting and documenting the investigation; and

(c) Notification to the commission of the investigation results upon completion.

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