

3901-8-03

Standardized health claim form rule.

(A) Purpose

The purpose of this rule is to standardize the forms used in the billing and reimbursement of health care, reduce the number of forms utilized, increase efficiency in the reimbursement of health care through standardization and encourage the use of electronic data interchange of health care expenses and reimbursement.

(B) Authority

This rule is promulgated pursuant to the authority vested in the superintendent under sections 3901.041, ~~3902.22, and 3901.19 to 3901.22~~ 3901.21 and 3902.22 of the Revised Code.

(C) Definitions

As used in this rule

- (1) "CDT codes" means the most current dental terminology and codes prescribed by the American dental association.
- (2) "Claim" means any request submitted to a third-party payer for benefits or proceeds under a benefit plan or contract on a standardized health claim form as described in paragraph (E)(3) or (E)(4) of this rule.
- (3) "CPT codes" means the most current procedural terminology and codes as published by the American medical association (AMA).
- (4) "CMS" means the centers for medicare and medicaid services of the U.S. department of health and human services formerly known as the federal health care financing administration of the U.S. department of health and human services (~~HCFA~~).
- (5) "CMS ~~Form form~~ form 1450" means the health insurance claim form published by CMS for use by institutional care practitioners. For purposes of this rule, the CMS form 1450 includes the UB-04 form and its successors.
- (6) "CMS ~~Form form~~ form 1500" means the health insurance claim form published by CMS for use by health care practitioners. For purposes of this rule, the CMS ~~Form form~~ form 1500 ~~will include~~ includes successor forms as approved by CMS.

- (7) "HCPCS" means CMS's common procedure coding system which is based upon the AMA's most current CPT publication.
- (a) "HCPCS ~~Level~~ level 1 codes" means the AMA's CPT codes with the exception of anesthesiology services;
 - (b) "HCPCS ~~Level~~ level 2 codes" means the codes for physician and non-physician services which are not included in the most current CPT publication;
 - (c) "HCPCS ~~Level~~ level 3 codes" means the codes for services needed by individual contractors or state agencies to process claims. They are used for items and services not having the frequency of use, geographic distribution, or general applicability needed to justify a code assignment at a higher level.
- (8) "Health care practitioner" means:
- (a) A chiropractor licensed under Chapter 4734. of the Revised Code;
 - (b) A corporation or partnership of health care practitioners defined in this rule;
 - (c) A dentist licensed under Chapter 4715. of the Revised Code;
 - (d) A dietitian licensed under Chapter 4759. of the Revised Code;
 - (e) A nurse licensed under Chapter 4723. of the Revised Code;
 - (f) An optometrist licensed under Chapter 4725. of the Revised Code;
 - (g) A physician as defined under section 4730.01 of the Revised Code;
 - (h) A podiatrist licensed under Chapter 4731. of the Revised Code;
 - (i) A psychologist licensed under Chapter 4732. of the Revised Code; or
 - (j) A therapist, including speech, physical, respiratory and occupational therapists licensed under Chapter 4753., 4755. or 4761. of the Revised

Code.

- (9) "~~ICD-9-CM~~ ICD-10-CM codes" means the disease codes in the most current international classification of diseases, clinical modifications published by the U.S. department of health and human services. ~~Effective October 1, 2013, ICD-9-CM codes are scheduled to be displaced by ICD-10-CM codes. At that time, or other date by which the change is made effective, references to ICD-9-CM will be changed to ICD-10-CM.~~
- (10) "Institutional care practitioner" means:
- (a) A hospice licensed under Chapter 3712. of the Revised Code;
 - (b) A hospital as defined under section 3727.01 of the Revised Code; or
 - (c) ~~A skilled nursing facility, extended care facility, intermediate care facility, convalescent nursing home, or adult care~~ A home or residential facility licensed under Chapters 3721. and ~~3722.~~ 5119. of the Revised Code.
- (11) "J400 form" means the uniform dental claim form approved by the American dental association for use by dentists. For purposes of this rule, the J400 form ~~shall include~~ includes its successors.
- (12) "Medicare" means Title XVIII of the federal Social Security Act (42 U.S.C. 1395).
- (13) "NCPDP universal claim form" means the form adopted for use by the national council for prescription drug programs, including ~~numbers~~ forms PUCFCC and PUCF2PT, ~~and~~ For purposes of this rule, the NCPDP universal claim form includes its successors.
- (14) "Other provider" means a supplier of health care services or supplies not meeting the definition of health care practitioner or institutional care practitioner, including but not limited to a pharmacist, physician assistant, nurse aide, or supplier of durable medical equipment.
- (15) "Third-party payer" is as defined in section 3901.38 of the Revised Code.

(D) Applicability and scope

Except as otherwise specifically provided, the requirements of this rule apply to all

issuers of policies or contracts of insurance, administrators of self-funded employee benefit plans, and other forms of coverage involved in the reimbursement of health care expenses, and all health care and institutional care practitioners licensed by this state. It is not to cover claims involving medicare, parts A or B; medicaid, the tricare program or workers' compensation insurance. Nothing herein shall be construed to create or imply a private cause of action for violation of this rule.

(E) General provisions

- (1) A health care practitioner, institutional care practitioner, or other provider that submits a paper claim shall use the CMS form 1500, UB-04/CMS- form 1450, NCPDP universal claim form or the J400 ~~claim forms (and their successor forms)~~ form which, for the purpose of this rule, are deemed approved for use in this state.
- (2) A health care practitioner, institutional care practitioner, or other provider that submits an electronic claim shall do so as provided in federal regulations for electronic transactions, codified at 45 CFR Parts 160 and 162.
- (3) Third-party payers transacting business in this state shall accept paper claims submitted on the CMS form 1500, UB-40/CMS- form 1450, NCPDP universal claim form or the J400 ~~claim forms (and their successor forms)~~ form which, for the purpose of this rule, are deemed approved for use in this state.
- (4) Third-party payers transacting business in this state shall also accept electronic claims submitted as provided in federal regulations for electronic transactions, codified at 45 CFR Parts 160 and 162.
- (5) Nothing in this ~~regulation~~ rule shall prohibit a third-party payer and an institutional care practitioner, health care practitioner or other provider from entering into a mutual agreement regarding the submission of claims to the third-party payer.
- (6) All health care practitioners and institutional care practitioners shall:
 - (a) Use the most current editions of the CMS form 1500, CMS form 1450 ~~or~~ and J400 form, and the most current instructions for these forms, in filing paper claims with third-party payers; and
 - (b) Modify their billing practices to encompass the coding changes for all billing and claim filing by the effective date of the changes set forth by

the developers of the forms, codes and procedures required under this rule;

- (7) Nothing in this ~~regulation~~ rule shall prevent a third-party payer from requesting supporting documentation as described in section 3901.381 of the Revised Code.

(F) Requirements for use of CMS form 1500

- (1) Health care practitioners, other than dentists, shall use the CMS form 1500 and instructions provided by CMS for use of the CMS form 1500 when filing paper claims with third-party payers for professional services.
- (2) A third-party payer may not require a health care practitioner to use any coding system for the filing of claims for health care services other than the following:
- (a) HCPCS ~~Codes (and their successors)~~ codes;
 - ~~(b) ICD-9-CM Codes (and their successors);~~
 - (b) ICD-10-CM codes;
 - (c) CPT ~~Codes (and their successors)~~ codes; or
 - (d) Other codes as accepted by the national uniform claim committee.
- (3) For anesthesia services use HCPCS level 1 codes for anesthesia.
- (4) ~~Third-party~~ Third-party payers may accept the American society of anesthesiologists relative value guide codes for anesthesia services, if mutually agreed to with the provider.
- (5) A third-party payer may not require a health care practitioner to use any other descriptor with a code or to furnish additional information with the initial submission of a CMS form 1500 except under the following circumstances:
- (a) When the procedure code used describes a treatment or service which is not otherwise classified; or
 - (b) When the procedure code is followed by the CPT modifier 22, 52 or 99. A

health care practitioner may use item 19 of the CMS form 1500 to explain the multiple modifiers.

- (6) A health care practitioner may use ~~box~~ item 19 of the CMS form 1500 to indicate the form is an amended version of a form previously submitted to the third-party payer by inserting the word "amended" in the space provided. If the CMS form 1500 is submitted electronically, adjustments or amendments can be accepted electronically.
- (7) A health care practitioner billing for services based on the amount of time involved shall indicate the number of units in item ~~24~~ 24G of the CMS form 1500 if item 24G it is not used to specify the number of days of treatment.
- (8) Third-party payers shall provide reimbursement to health care practitioners and other providers using the first that applies:
 - (a) National provider identifier (~~NPI~~);
 - (b) Federal tax identification number; or
 - (c) Social security number.

(G) Requirements for use of CMS form 1450/UB-04

- (1) Institutional care practitioners shall use the CMS form 1450 and instructions provided by CMS for use of the CMS form 1450 when filing paper claims with third-party payers for professional services.
- (2) A third-party payer may not require an institutional care practitioner to use any coding system for the filing of claims for health care services other than the following:
 - ~~(a) ICD-9-CM codes (and their successors);~~
 - (a) ICD-10-CM codes;
 - (b) HCPCS level 1 codes ~~(and their successors);~~
 - (c) HCPCS level 2 codes ~~(and their successors);~~
 - (d) HCPCS level 3 codes ~~(and their successors); and~~

(e) Other codes as accepted by the national uniform billing committee; or

(f) If charges include direct service of a health care practitioner, the information outlined in paragraph (E) of this rule.

(3) Institutional care practitioners shall specify the license number of physical therapists and other health care professionals rendering services designated as physical therapy in ~~block~~ item 83 of CMS form 1450.

(H) Requirements for use of J400 form:

(1) A dentist shall use the J400 form and instructions, ~~or its successors, provided by the American dental association~~ for billing patients or their representatives directly and filing paper claims with third-party payers for professional services; and

(2) A third-party payer may not require a dentist to use any code other than the CDT codes, ~~or their successors,~~ for the filing of claims for dental care services.

(I) Requirements for use of NCPDP universal claim form

A pharmacist shall use the NCPDP universal claim form, ~~or its successors,~~ to submit paper claims with ~~third-party~~ third-party payers.

(J) Penalties

Failure to comply with any requirements of paragraphs (E) to (I) of this rule is an unfair and deceptive practice within the meaning of section 3901.21 of the Revised Code.

(K) Severability

If any paragraph, term or provision of this rule is adjudged invalid for any reason, the judgment shall not affect, impair or invalidate any other paragraph, term or provision of this rule, but the remaining paragraphs, terms and provisions shall be and continue in full force and effect.

Effective: 11/03/2016

Five Year Review (FYR) Dates: 08/19/2016 and 08/19/2021

CERTIFIED ELECTRONICALLY

Certification

10/24/2016

Date

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