

## Rule Summary and Fiscal Analysis

### Part A - General Questions

**Rule Number:** 4757-5-09

**Rule Type:** Amendment

**Rule Title/Tagline:** Standards of ethical practice and professional conduct: record keeping.

**Agency Name:** Counselor, Social Worker, and Marriage and Family Therapist Board

**Division:**

**Address:** 77 South High Street 24th Floor, Room 2468 Columbus OH 43215-6171

**Contact:** Brian Carnahan

**Email:** brian.carnahan@cswb.ohio.gov **Phone:** 614-752-5161

#### I. Rule Summary

1. Is this a five year rule review? Yes
  - A. What is the rule's five year review date? 5/24/2018
2. Is this rule the result of recent legislation? No
3. What statute is this rule being promulgated under? 119.03
4. What statute(s) grant rule writing authority? 4757.11
5. What statute(s) does the rule implement or amplify? 4757.11
6. What are the reasons for proposing the rule?

Rule is subject to review.
7. Summarize the rule's content, and if this is an amended rule, also summarize the rule's changes.

The rule describes requirements for record keeping. The proposed amendment clarifies how clients may access records; the amendment aligns the rule with ORC 3701.74.

8. Does the rule incorporate material by reference? No
9. If the rule incorporates material by reference and the agency claims the material is exempt pursuant to R.C. 121.71 to 121.76, please explain the basis for the exemption and how an individual can find the referenced material.

*Not Applicable*

10. If revising or re-filing the rule, please indicate the changes made in the revised or re-filed version of the rule.

*Not Applicable*

## **II. Fiscal Analysis**

11. As a result of this proposed rule, please estimate the increase / decrease in revenues or expenditures affecting this agency, or the state generally, in the current biennium or future years. If the proposed rule is likely to have a different fiscal effect in future years, please describe the expected difference and operation.

This will have no impact on revenues or expenditures.

0.00

N/A

12. What are the estimated costs of compliance for all persons and/or organizations directly affected by the rule?

No costs should be incurred beyond costs associated with providing records in hard copy or electronic format to clients who request such records.

13. Does the rule increase local government costs? (If yes, you must complete an RSFA Part B). No
14. Does the rule regulate environmental protection? (If yes, you must complete an RSFA Part C). No

## **III. Common Sense Initiative (CSI) Questions**

15. Was this rule filed with the Common Sense Initiative Office? Yes
16. Does this rule have an adverse impact on business? No

- A. Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? Yes**

Applies only to licensees and registrants.

- B. Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? Yes**

Licensees who fail to comply with record keeping

- C. Does this rule require specific expenditures or the report of information as a condition of compliance? No**