

Rule Summary and Fiscal Analysis

Part A - General Questions

Rule Number: 4757-5-09

Rule Type: Amendment

Rule Title/Tagline: Standards of ethical practice and professional conduct: record keeping.

Agency Name: Counselor, Social Worker, and Marriage and Family Therapist Board

Division:

Address: 77 South High Street 24th Floor, Room 2468 Columbus OH 43215-6171

Contact: Brian Carnahan **Phone:** 614-752-5161

Email: brian.carnahan@cswb.ohio.gov

I. Rule Summary

1. Is this a five year rule review? No
 - A. What is the rule's five year review date? 12/26/2028
2. Is this rule the result of recent legislation? No
3. What statute is this rule being promulgated under? 119.03
4. What statute(s) grant rule writing authority? 4757.11
5. What statute(s) does the rule implement or amplify? 4757.11
6. Does the rule implement a federal law or rule in a manner that is more stringent or burdensome than the federal law or regulation requires? No
 - A. If so, what is the citation to the federal law or rule? Not Applicable
7. What are the reasons for proposing the rule?

This is an existing rule proposed for revision. The proposed edits reflect changes necessary as a result of the adoption of licensure for art and music therapy.
8. Summarize the rule's content, and if this is an amended rule, also summarize the rule's changes.

This rule defines the manner in which licensees of the Board must develop and maintain records regarding client care.

9. Does the rule incorporate material by reference? No
10. If the rule incorporates material by reference and the agency claims the material is exempt pursuant to R.C. 121.75, please explain the basis for the exemption and how an individual can find the referenced material.

Not Applicable

11. If revising or re-filing the rule, please indicate the changes made in the revised or re-filed version of the rule.

Not Applicable

II. Fiscal Analysis

12. Please estimate the increase / decrease in the agency's revenues or expenditures in the current biennium due to this rule.

This will have no impact on revenues or expenditures.

0.00

N/A

13. What are the estimated costs of compliance for all persons and/or organizations directly affected by the rule?

N/A

14. Does the rule increase local government costs? (If yes, you must complete an RSFA Part B). No

15. Does the rule regulate environmental protection? (If yes, you must complete an RSFA Part C). No

16. If the rule imposes a regulation fee, explain how the fee directly relates to your agency's cost in regulating the individual or business.

N/A

III. Common Sense Initiative (CSI) Questions

17. Was this rule filed with the Common Sense Initiative Office? Yes

18. Does this rule have an adverse impact on business? Yes

A. Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? Yes

The requirement to retain records applies only to licensees.

B. Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? Yes

A licensee could be sanctioned if they fail to follow the rule.

C. Does this rule require specific expenditures or the report of information as a condition of compliance? Yes

Licensees incur costs through maintain paper records or electronic records.

D. Is it likely that the rule will directly reduce the revenue or increase the expenses of the lines of business of which it will apply or applies? Yes

All costs are customary business costs.

IV. Regulatory Restriction Requirements under S.B. 9. Note: This section only applies to agencies described in R.C. 121.95(A).

19. Are you adding a new or removing an existing regulatory restriction as defined in R.C. 121.95? No

A. How many new regulatory restrictions do you propose adding to this rule?

Not Applicable

B. How many existing regulatory restrictions do you propose removing from this rule?

Not Applicable

C. If you are not removing existing regulatory restrictions from this rule, please list the rule number(s) from which you are removing restrictions.

Not Applicable

D. Please justify the adoption of the new regulatory restriction(s).

Not Applicable