

Rule Summary and Fiscal Analysis (Part A)**Public Utilities Commission of Ohio**

Agency Name

Division

Angela Hawkins

Contact

180 East Broad Street Columbus OH 43215-3793

Agency Mailing Address (Plus Zip)

614-466-0122

Phone

Fax

angela.hawkins@puc.state.oh.us

Email

4901:1-19-15

Rule Number

NO CHANGE

TYPE of rule filing

Rule Title/Tag Line

Assessment of costs and enforcement.**RULE SUMMARY**

1. Is the rule being filed consistent with the requirements of the RC 119.032 review? **Yes**

2. Are you proposing this rule as a result of recent legislation? **No**

3. Statute prescribing the procedure in accordance with the agency is required to adopt the rule: **111.15**

4. Statute(s) authorizing agency to adopt the rule: **4929.10**

5. Statute(s) the rule, as filed, amplifies or implements: **4903.24**

6. State the reason(s) for proposing (i.e., why are you filing,) this rule:

Five-year review as required by Section 119.032 of the Revised Code.

7. If the rule is an AMENDMENT, then summarize the changes and the content of the proposed rule; If the rule type is RESCISSION, NEW or NO CHANGE, then summarize the content of the rule:

Provides the guidelines for assessment of costs and enforcement.

8. If the rule incorporates a text or other material by reference and the agency claims the incorporation by reference is exempt from compliance with sections 121.71 to 121.74 of the Revised Code because the text or other material is **generally available** to persons who reasonably can be expected to be affected by the rule, provide an explanation of how the text or other material is generally available to those persons:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

9. If the rule incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material electronically, provide an explanation of why filing the text or other material electronically was infeasible:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

10. If the rule is being **rescinded** and incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material, provide an explanation of why filing the text or other material was infeasible:

Not Applicable.

11. If **revising** or **refiling** this rule, identify changes made from the previously filed version of this rule; if none, please state so. If applicable, indicate each specific paragraph of the rule that has been modified:

Not Applicable.

12. 119.032 Rule Review Date: **7/15/2013** and **07/15/2018**

(If the rule is not exempt and you answered NO to question No. 1, provide the scheduled review date. If you answered YES to No. 1, the review date for this rule is the filing date.)

NOTE: If the rule is not exempt at the time of final filing, two dates are required: the current review date plus a date not to exceed 5 years from the effective date for Amended rules or a date not to exceed 5 years from the review date for No Change rules.

FISCAL ANALYSIS

13. Estimate the total amount by which *this proposed rule* would **increase / decrease** either **revenues / expenditures** for the agency during the current biennium (in dollars): Explain the net impact of the proposed changes to the budget of your agency/department.

This will have no impact on revenues or expenditures.

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Not Applicable

14. Identify the appropriation (by line item etc.) that authorizes each expenditure necessitated by the proposed rule:

Not Applicable

15. Provide a summary of the estimated cost of compliance with the rule to all directly affected persons. When appropriate, please include the source for your information/estimated costs, e.g. industry, CFR, internal/agency:

There are no costs of compliance associated with this filing.

16. Does this rule have a fiscal effect on school districts, counties, townships, or municipal corporations? **No**

17. Does this rule deal with environmental protection or contain a component dealing with environmental protection as defined in R. C. 121.39? **No**

S.B. 2 (129th General Assembly) Questions

18. Has this rule been filed with the Common Sense Initiative Office pursuant to R.C. 121.82? **Yes**

19. Specific to this rule, answer the following:

A.) Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? **Yes**

The existing rules require prior authorization before engaging in natural gas activities pursuant to an alternative rate plan or exemption. However, while the proposed rules require prior authorization to engage in these activities, the proposed rules provide a further step toward alternative regulation by providing further

procedures through which natural gas companies can pursue options to traditional alternative regulation.

B.) Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? **Yes**

This rule provides that the commission may assess the costs of hearing or investigation on a utility that fails to comply with this chapter pursuant to Section 4903.24, Revised Code.

C.) Does this rule require specific expenditures or the report of information as a condition of compliance? **Yes**

The existing rules require expenditures in the form of requiring employer time for compliance with filing requirements in certain situations, as well as the report of information as part of the application process. However, the proposed rules place no additional compliance requirements beyond the existing rules, but provide more alternatives to traditional regulation, which have the potential to reduce the cost of supplying natural gas.