Rule Summary and Fiscal Analysis (Part A)

Department of Developmental Disabilities

Agency Name

<u>Community Services</u> <u>Becky Phillips</u>

Division Contact

<u>30 East Broad Street, 12th Floor Columbus OH</u> (614) 644-7393 (614)752-8551

43215-3414

Agency Mailing Address (Plus Zip) Phone Fax

becky.phillips@dodd.ohio.gov

Email

<u>5123:2-5-02</u> <u>RESCISSION</u>

Rule Number TYPE of rule filing

Rule Title/Tag Line Service and support administration certification standards.

RULE SUMMARY

- 1. Is the rule being filed for five year review (FYR)? Yes
- 2. Are you proposing this rule as a result of recent legislation? No
- 3. Statute prescribing the procedure in accordance with the agency is required to adopt the rule: 119.03
- 4. Statute(s) authorizing agency to adopt the rule: 5123.04, 5126.25
- 5. Statute(s) the rule, as filed, amplifies or implements: **5123.04**, **5126.201**, **5126.25**
- 6. State the reason(s) for proposing (i.e., why are you filing,) this rule:

The Department is rescinding the existing rule and bringing forth a new replacement rule of the same number.

7. If the rule is an AMENDMENT, then summarize the changes and the content of the proposed rule; If the rule type is RESCISSION, NEW or NO CHANGE, then summarize the content of the rule:

Page 2 Rule Number: 5123:2-5-02

The rule establishes minimum qualifications through certification standards that shall apply to persons, directly providing or supervising the provision of Service and Support Administration, who are employed by county boards of developmental disabilities and entities under contract with county boards of developmental disabilities to provide or supervise provision of Service and Support Administration.

8. If the rule incorporates a text or other material by reference and the agency claims the incorporation by reference is exempt from compliance with sections 121.71 to 121.74 of the Revised Code because the text or other material is **generally available** to persons who reasonably can be expected to be affected by the rule, provide an explanation of how the text or other material is generally available to those persons:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

9. If the rule incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material electronically, provide an explanation of why filing the text or other material electronically was infeasible:

This response left blank because filer specified online that the rule does not incorporate a text or other material by reference.

10. If the rule is being **rescinded** and incorporates a text or other material by reference, and it was **infeasible** for the agency to file the text or other material, provide an explanation of why filing the text or other material was infeasible:

This response left blank because filer specified online that the rule does not incorporate a text or other materials by reference.

11. If **revising** or **refiling** this rule, identify changes made from the previously filed version of this rule; if none, please state so. If applicable, indicate each specific paragraph of the rule that has been modified:

Not Applicable.

12. Five Year Review (FYR) Date: 11/10/2016

(If the rule is not exempt and you answered NO to question No. 1, provide the scheduled review date. If you answered YES to No. 1, the review date for this rule is the filing date.)

Page 3 Rule Number: 5123:2-5-02

NOTE: If the rule is not exempt at the time of final filing, two dates are required: the current review date plus a date not to exceed 5 years from the effective date for Amended rules or a date not to exceed 5 years from the review date for No Change rules.

FISCAL ANALYSIS

13. Estimate the total amount by which *this proposed rule* would **increase / decrease** either **revenues / expenditures** for the agency during the current biennium (in dollars): Explain the net impact of the proposed changes to the budget of your agency/department.

This will have no impact on revenues or expenditures.

\$0

The rule does not impact revenues or expenditures of the Department.

14. Identify the appropriation (by line item etc.) that authorizes each expenditure necessitated by the proposed rule:

Not applicable.

15. Provide a summary of the estimated cost of compliance with the rule to all directly affected persons. When appropriate, please include the source for your information/estimated costs, e.g. industry, CFR, internal/agency:

The rule requires persons employed by county boards of developmental disabilities and entities under contract with county boards who are directly providing or supervising the provision of Service and Support Administration, to hold Service and Support Administration certification issued by the Superintendent of the county board. A Superintendent may require applicants for Service and Support Administration certification to pay an application fee. The application fee for Service and Support Administrator certification may not exceed \$50. The application fee for Service and Support Administration Supervisor certification may not exceed \$75.

16. Does this rule have a fiscal effect on school districts, counties, townships, or municipal corporations? **Yes**

You must complete Part B of the Rule Summary and Fiscal Analysis in order to comply with Am. Sub. S.B. 33 of the 120th General Assembly.

Page 4 Rule Number: 5123:2-5-02

17. Does this rule deal with environmental protection or contain a component dealing with environmental protection as defined in R. C. 121.39? **No**

S.B. 2 (129th General Assembly) Questions

- 18. Has this rule been filed with the Common Sense Initiative Office pursuant to R.C. 121.82? N_0
- 19. Specific to this rule, answer the following:
- A.) Does this rule require a license, permit, or any other prior authorization to engage in or operate a line of business? N_0
- B.) Does this rule impose a criminal penalty, a civil penalty, or another sanction, or create a cause of action, for failure to comply with its terms? **No**
- C.) Does this rule require specific expenditures or the report of information as a condition of compliance? N_0

Page B-1 Rule Number: 5123:2-5-02

Rule Summary and Fiscal Analysis (Part B)

1. Does the Proposed rule have a fiscal effect on any of the following?

(a) School (b) Counties (c) Townships (d) Municipal Corporations

No Yes No No

2. Please provide an estimate in dollars of the cost of compliance with the proposed rule for school districts, counties, townships, or municipal corporations. If you are unable to provide an estimate in dollars, please provide a written explanation of why it is not possible to provide such an estimate.

Superintendents of county boards of developmental disabilities issue Service and Support Administration certification to persons directly providing or supervising the provision of Service and Support Administration. The costs associated with issuing certification vary based on the number of Service and Support Administration staff who must be certified by the county board. The Department does not have data regarding the costs.

- 3. If the proposed rule is the result of a federal requirement, does the proposed rule exceed the scope and intent of the federal requirement? **No**
- 4. If the proposed rule exceeds the minimum necessary federal requirement, please provide an estimate of, and justification for, the excess costs that exceed the cost of the federal requirement. In particular, please provide an estimate of the excess costs that exceed the cost of the federal requirement for (a) school districts, (b) counties, (c) townships, and (d) municipal corporations.

Not Applicable.

5. Please provide a comprehensive cost estimate for the proposed rule that includes the procedure and method used for calculating the cost of compliance. This comprehensive cost estimate should identify all of the major cost categories including, but not limited to, (a) personnel costs, (b) new equipment or other capital costs, (c) operating costs, and (d) any indirect central service costs.

Superintendents of county boards of developmental disabilities issue Service and Support Administration certification to persons directly providing or supervising the provision of Service and Support Administration. The costs associated with

Page B-2 Rule Number: 5123:2-5-02

issuing certification vary based on the number of Service and Support Administration staff who must be certified by the county board. The Department does not have data regarding the costs.

(a) Personnel Costs

Superintendents of county boards of developmental disabilities issue Service and Support Administration certification to persons directly providing or supervising the provision of Service and Support Administration. The costs associated with issuing certification vary based on the number of Service and Support Administration staff who must be certified by the county board. The Department does not have data regarding the costs.

(b) New Equipment or Other Capital Costs

Not applicable.

(c) Operating Costs

Superintendents of county boards of developmental disabilities issue Service and Support Administration certification to persons directly providing or supervising the provision of Service and Support Administration. The costs associated with issuing certification vary based on the number of Service and Support Administration staff who must be certified by the county board. The Department does not have data regarding the costs.

(d) Any Indirect Central Service Costs

Not applicable.

(e) Other Costs

Not applicable.

Please provide a written explanation of the agency's and the local government's ability to pay for the new requirements imposed by the proposed rule.

Superintendents of county boards of developmental disabilities have been responsible for issuing Service and Support Administration certification since implementation of House Bill 487 of the 129th General Assembly. Revisions being

Page B-3 Rule Number: 5123:2-5-02

made to the rule are not expected to increase the associated costs.

7. Please provide a statement on the proposed rule's impact on economic development.

The rule is not expected to impact economic development.