

Notice of Public Hearing

A public hearing will be held by the Ohio Casino Control Commission on February 6, 2012 at 10:00 a.m. in the Lobby Hearing Room of the Rhodes State Office Tower, located at 30 East Broad Street, Columbus, Ohio 43215. The purpose of the hearing is to solicit public comment on the following proposed rules:

Rule 3772-9-01, titled "Definitions." The proposed rule sets forth the definitions pertaining to gaming supplies, devices and equipment found in chapter 3772- of the Administrative Code.

Rule 3772-9-02, titled "Approval for use in a casino facility." The proposed rule sets forth the approval process for maintaining, using or operating slot machines and other electronic gaming equipment by casino operator licensees.

Rule 3772-9-03, titled "Testing." The proposed rule describes the testing to be performed upon slot machines or other electronic gaming equipment prior to Casino Control Commission approval for use at a casino facility.

Rule 3772-9-04, titled "Testing Results." The proposed rule describes actions that may be taken as a result of the testing results performed under Rule 3772-3-03.

Rule 3772-9-05, titled "Transportation of slot machines to and from a casino facility." The proposed rule describes the process by which a casino operator licensee may move slot machines within, around, and out of Ohio.

Rule 3772-9-06, titled "Possession and storage of slot machines." The proposed rule describes process for storing and possessing, within Ohio, slot machines or other electronic gaming equipment intended for use at a casino facility.

Rule 3772-4-05, titled "Casino operator, management company and holding company license application, license period and license renewal." The proposed rule describes the general process and information required to receive a casino operator, management company, and holding company license.

Rule 3772-4-06, titled "Application fees." The proposed rule describes the various application fees imposed by the Casino Control Commission.

Rule 3772-4-7, titled "License fees." The proposed rule describes the various license fees imposed by the Casino Control Commission.

Rule 3772-4-8, titled "Affirmative license standards." The proposed rule describes the criteria that must be demonstrated by a casino operator, management company, or holding company applicant prior to licensure.

Rule 3772-4-09, titled "Duty to update information." The proposed rule describes the obligations by licensees and applicants in supplying current and updated information to the Casino Control Commission.

Rule 3772-5-05, titled "Affirmative license standards." The proposed rule describes the criteria that each applicant for a key employee license must demonstrate prior to licensure.

Rule 3772-6-05, titled "Affirmative license standards." The proposed rule describes the criteria that each applicant for a gaming-related vendor license must demonstrate prior to licensure.

Rule 3772-8-05, titled "Affirmative license standards." The proposed rule describes the criteria that each applicant for a casino gaming employee license must demonstrate prior to licensure.

Rule 3772-3-01, titled "Institutional investor." The proposed rule defines the term "institutional investor."

Rule 3772-3-02, titled "Institutional investor waiver form." The proposed rule describes the waiver process for institutional investors below certain thresholds from submitting to a suitability review by the Casino Control Commission.

Rule 3772-3-03, titled "Duty to update information." The proposed rule details the information an institutional must update with the Casino Control Commission on a continuing basis.

Rule 3772-3-04, titled "Rescission of designation as an institutional investor." The proposed rule describes the circumstances under which an entity may lose its designation as an institutional investor.

Rule 3772-3-05, titled "Records retention requirements for a casino operator, management company, holding company and gaming-related vendor licensee." The proposed rule describes the minimum records retention policy requirements for casino operator, management company, holding company, and gaming-related vendor licensees.

Rule 3772-15-01, titled "Independent testing laboratory certification." The proposed rule permits an independent testing laboratory to request certification by the Casino Control Commission.

Rule 3772-15-02, titled "Independent testing laboratory information, certification period and certification renewal." The proposed rule describes the certification and re-certification process for independent testing laboratories as well as the three year certification period.

Rule 3772-15-03, titled "Certification fees." The proposed rule details the fees that must be paid by an independent testing laboratory requesting certification.

Rule 3772-15-04, titled "Background investigation of an independent testing laboratory." The proposed rule describes the background investigation of an independent testing laboratory prior to commission certification.

Rule 3772-15-05, titled "Conditions of certification." The proposed rule lists the conditions of certification that must be agreed to and complied with before and after certification of an independent testing laboratory.

Rule 3772-15-06 titled, "Duties of a certified independent testing laboratory." The proposed rule describes the affirmative obligations of a certified independent testing laboratory.

Rule 3772-12-01, titled "Establishment of voluntary exclusion program and list." The proposed rule creates a voluntary exclusion program and list.

Rule 3772-12-02, titled "Application for voluntary exclusion." The proposed rule describes the application process for placement on the voluntary exclusion list.

Rule 3772-12-03, titled "Responsibilities of voluntarily excluded individual." The proposed rule describes the obligations of individuals participating in the voluntary exclusion program.

Rule 3772-12-04, titled "Responsibilities of casino operators." The proposed rule describes the obligations of casino operators with respect to compliance with the voluntary exclusion program.

Rule 3772-12-05, titled "Removal from voluntary exclusion list." The proposed rule describes the process for an individual to be removed from the voluntary exclusion program and list.

Rule 3772-12-06, titled "Compulsive and problem gambling plan." The proposed rule requires casino operators and management and holding companies involved in casino operations to create a compulsive and problem gambling plan that is subject to the Casino Control Commission's approval.

Rule 3772-10-01, titled "Definitions." The proposed rule defines terms to be used with respect to Minimum Internal Control Standards.

Rule 3772-10-02, titled "Requirement to submit internal control plans." The proposed rule requires each applicant for a casino operator license to submit an internal control plan to the Casino Control Commission for approval.

Rule 3772-10-03, titled "Casino operator or casino operator applicant's organization." The proposed rule describes the organizational information that must be submitted as part of a casino operator's internal control plan.

Rule 3772-10-04, titled "Accounting records." The proposed rule describes the minimum internal controls of a casino operator pertaining to accounting records.

Rule 3772-10-05, titled "Forms, records, and documents." The proposed rule describes the minimum internal controls of a casino operator pertaining to the receipt, control, and issuance of certain documents and records.

Rule 3772-10-06, titled "Standard financial reports." The proposed rule describes the standard financial documents that must be prepared and filed with the Casino Control Commission.

Rule 3772-10-07, titled "Annual audit; other reports; currency transaction reporting; suspicious transaction reporting." The proposed rule details the minimum internal controls of a casino operator with respect to certain transactional reporting requirements.

Rule 3772-10-09, titled "Complimentaries." The proposed rule describes the minimum internal controls of a casino operator with respect to giving complimentary services or items to patrons or guests.

Rule 3772-10-10, titled "Personal check cashing." The proposed rule describes the minimum internal controls of a casino operator with respect to accepting and cashing personal checks.

Rule 3772-10-11, titled "Wire transfers." The proposed rule describes the minimum internal controls of a casino operator pertaining to acceptance, verification, accounting for, and sending of wire transfers.

Rule 3772-10-12, titled "Cash equivalents." The proposed rule describes the minimum internal controls of a casino operator regarding the acceptance and verification of cash equivalents.

Rule 3772-10-13, titled "Patron deposits." The proposed rule details the minimum internal controls of a casino operator pertaining to the receipt and withdrawal of patron deposits.

Rule 3772-10-14, titled "Internal audit standards." The proposed rule details the minimum internal controls of a casino operator with respect to internal audit procedures.

Rule 3772-10-15, titled "Information technology standards." The proposed rule details the minimum internal controls of a casino operator with respect to information technology.

All interested parties are invited to attend the hearing and present oral and/or written testimony. Written comments may also be submitted to the attention of Matthew R. Oyster, Assistant General Counsel, Casino Control Commission, at 10 West Broad Street, 6th Floor, Columbus, Ohio 43215 or by electronic mail at matthew.oyster@casinocontrol.ohio.gov.