



## Common Sense Initiative

**Mike DeWine**, Governor  
**Jon Husted**, Lt. Governor

**Sean McCullough**, Director

### Business Impact Analysis

Agency, Board, or Commission Name: Ohio State Cosmetology and Barber Board

Rule Contact Name and Contact Information:

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Regulation/Package Title (a general description of the rules' substantive content):

4713-21

Rule Number(s): 4713-21-08 (Amend)

Date of Submission for CSI Review: 10/3/2023

Public Comment Period End Date: 10/17/2023

**Rule Type/Number of Rules:**

New/\_\_\_ rules

No Change/\_\_\_ rules (FYR? \_\_)

Amended/ 1 rules (FYR? N)

Rescinded/\_\_\_ rules (FYR? \_\_)

The Common Sense Initiative is established in R.C. 107.61 to eliminate excessive and duplicative rules and regulations that stand in the way of job creation. Under the Common Sense Initiative, agencies must balance the critical objectives of regulations that have an adverse impact on business with the costs of compliance by the regulated parties. Agencies should promote transparency, responsiveness, predictability, and flexibility while developing regulations that are fair and easy to follow. Agencies should prioritize compliance over punishment, and to that end, should utilize plain language in the development of regulations.

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### **Reason for Submission**

1. **R.C. 106.03 and 106.031 require agencies, when reviewing a rule, to determine whether the rule has an adverse impact on businesses as defined by R.C. 107.52. If the agency determines that it does, it must complete a business impact analysis and submit the rule for CSI review.**

**Which adverse impact(s) to businesses has the agency determined the rule(s) create?**

**The rule(s):**

- a. ☒ **Requires a license, permit, or any other prior authorization to engage in or operate a line of business.**
- b. ☐ **Imposes a criminal penalty, a civil penalty, or another sanction, or creates a cause of action for failure to comply with its terms.**
- c. ☒ **Requires specific expenditures or the report of information as a condition of compliance.**
- d. ☐ **Is likely to directly reduce the revenue or increase the expenses of the lines of business to which it will apply or applies.**

### **Regulatory Intent**

2. **Please briefly describe the draft regulation in plain language.**

*Please include the key provisions of the regulation as well as any proposed amendments.*

4713-21-08 (Amend): This rule addresses continuing education requirements for program approval. It is being amended to reflect recent changes to the Board's reciprocity policies, and make it easier for CE providers to receive program approval.

3. **Please list the Ohio statute(s) that authorize the agency, board or commission to adopt the rule(s) and the statute(s) that amplify that authority.**

R.C. §§ 4709.05(E)(3), 4713.08(A)(20), 4713.09, 4709.05, 4713.59, 4713.62.

4. **Does the regulation implement a federal requirement? Is the proposed regulation being adopted or amended to enable the state to obtain or maintain approval to administer and enforce a federal law or to participate in a federal program?**

*If yes, please briefly explain the source and substance of the federal requirement.*

No.

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5. **If the regulation includes provisions not specifically required by the federal government, please explain the rationale for exceeding the federal requirement.**

Not Applicable.

6. **What is the public purpose for this regulation (i.e., why does the Agency feel that there needs to be any regulation in this area at all)?**

Chapter 4713-21 addresses continuing education requirements. These rules detail continuing education requirements placed on licensees and registration holders, which are necessary to provide information on changes to safety and sanitation and provide insight on new techniques. The imposition of continuing education requirements is permitted under the revised code. This specific regulation details requirements for program approval, establishing the requirements for programs satisfying these requirements.

7. **How will the Agency measure the success of this regulation in terms of outputs and/or outcomes?**

Success will be determined by compliance with the requirements established, and through staff and public feedback.

8. **Are any of the proposed rules contained in this rule package being submitted pursuant to R.C. 101.352, 101.353, 106.032, 121.93, or 121.931?**

*If yes, please specify the rule number(s), the specific R.C. section requiring this submission, and a detailed explanation.*

No.

### **Development of the Regulation**

9. **Please list the stakeholders included by the Agency in the development or initial review of the draft regulation.**

*If applicable, please include the date and medium by which the stakeholders were initially contacted.*

The agency sent an email to all licensed entities and persons. Also, the rules were sent to schools, local associations, and societies representing the branches of Cosmetology regulated by the Board.

10. **What input was provided by the stakeholders, and how did that input affect the draft regulation being proposed by the Agency?**

No input was received from stakeholders regarding these rules.

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**11. What scientific data was used to develop the rule or the measurable outcomes of the rule? How does this data support the regulation being proposed?**

No scientific data was used when accessing these rules.

**12. What alternative regulations (or specific provisions within the regulation) did the Agency consider, and why did it determine that these alternatives were not appropriate? If none, why didn't the Agency consider regulatory alternatives?**

The Board did not consider alternative regulations because the rules are administrative in nature.

**13. Did the Agency specifically consider a performance-based regulation? Please explain. *Performance-based regulations define the required outcome, but don't dictate the process the regulated stakeholders must use to achieve compliance.***

No.

**14. What measures did the Agency take to ensure that this regulation does not duplicate an existing Ohio regulation?**

The Board is the sole entity tasked with the regulation of tanning, barbering, and the branches of cosmetology in Ohio.

**15. Please describe the Agency's plan for implementation of the regulation, including any measures to ensure that the regulation is applied consistently and predictably for the regulated community.**

This rule will be posted on the Board's website and notification will be sent to all regulated persons and businesses that may be impacted.

**Adverse Impact to Business**

**16. Provide a summary of the estimated cost of compliance with the rule. Specifically, please do the following:**

- a. Identify the scope of the impacted business community; and**
- b. Identify the nature of all adverse impact (e.g., fees, fines, employer time for compliance,); and**
- c. Quantify the expected adverse impact from the regulation.**  
*The adverse impact can be quantified in terms of dollars, hours to comply, or other factors; and may be estimated for the entire regulated population or for a "representative business." Please include the source for your information/estimated impact.*

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4713-21-08 (Amend): This specific regulation details requirements for program approval, establishing the requirements for programs satisfying these requirements. Overall, the impact should be a net positive one as these requirements should be easier for CE providers to meet. While we cannot determine how this will impact the total cost of the courses as they are provided by third parties, the reduction in hours should benefit licensees as reducing hours allows licensees to spend more time practicing.

**17. Why did the Agency determine that the regulatory intent justifies the adverse impact to the regulated business community?**

This regulation is administrative and should have a minimal impact on the business community. While there is a cost associated with compliance, the cost should be minimal and the reduction in hours should benefit the industry as a whole. The purpose of these provisions is to protect the public at large and hold licensees and registration holders accountable for staying up to date on developments in the industry. Furthermore, the changes implemented through this amendment should make it easier for continuing education course providers to have courses approved by the Board, resulting in a benefit for these providers.

**Regulatory Flexibility**

**18. Does the regulation provide any exemptions or alternative means of compliance for small businesses? Please explain.**

This rule is administrative and must apply evenly to all licensees and registration holders to remain effective. Therefore, no alternatives or exemptions exist.

**19. How will the agency apply Ohio Revised Code section 119.14 (waiver of fines and penalties for paperwork violations and first-time offenders) into implementation of the regulation?**

There is no fine or penalty associated with this rule.

**20. What resources are available to assist small businesses with compliance of the regulation?**

No additional resources are available to assist in compliance with this rule. However, the Board will publish all available information on its website.