



Common Sense Initiative

Mike DeWine, Governor
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Business Impact Analysis

Agency, Board, or Commission Name: Ohio Casino Control Commission ("Commission")

Rule Contact Name and Contact Information: Andromeda Morrison, 614-387-5616, rulecomments@casinocontrol.ohio.gov

Regulation/Package Title (a general description of the rules' substantive content):

5 Year Review No Change Rules 3772-13 and 3772-14

Rule Number(s): 3772-13-01 (no change), 3772-14-02 (no change), and 3772-14-03 (no change)

Date of Submission for CSI Review: 7/22/2024

Public Comment Period End Date: 8/5/2024

Rule Type/Number of Rules:

New/ 0 rules

No Change/ 3 rules (FYR? Yes)

Amended/ 0 rules (FYR? No)

Rescinded/ 0 rule (FYR? N/A)

The Common Sense Initiative is established in R.C. 107.61 to eliminate excessive and duplicative rules and regulations that stand in the way of job creation. Under the Common Sense Initiative, agencies must balance the critical objectives of regulations that have an adverse impact on business with the costs of compliance by the regulated parties. Agencies should promote transparency, responsiveness, predictability, and flexibility while developing regulations that are fair and easy to follow. Agencies should prioritize compliance over punishment, and to that end, should utilize plain language in the development of regulations.

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CSIPublicComments@governor.ohio.gov

Reason for Submission

1. **R.C. 106.03 and 106.031 require agencies, when reviewing a rule, to determine whether the rule has an adverse impact on businesses as defined by R.C. 107.52. If the agency determines that it does, it must complete a business impact analysis and submit the rule for CSI review.**

Which adverse impact(s) to businesses has the agency determined the rule(s) create?

The rule(s):

- a. ☒ **Requires a license, permit, or any other prior authorization to engage in or operate a line of business.**
- b. ☒ **Imposes a criminal penalty, a civil penalty, or another sanction, or creates a cause of action for failure to comply with its terms.**
- c. ☒ **Requires specific expenditures or the report of information as a condition of compliance.**
- d. ☒ **Is likely to directly reduce the revenue or increase the expenses of the lines of business to which it will apply or applies.**

Regulatory Intent

2. **Please briefly describe the draft regulation in plain language.**

Please include the key provisions of the regulation as well as any proposed amendments.

The rules presented here are being reviewed pursuant to the state's rule-review requirement in R.C. 106.03 and are the rules contained in Ohio Adm.Code Sections 3772-13-01, 3772-14-02, and 3772-14-03. The rules are being submitted as "no change" rules and do not have any amendments. The summaries of the rules for consideration are detailed below.

- **3772-13-01 (no change)**, titled "Definitions." This rule defines certain terms related to advertisements and promotions. It also states when a casino operator may conduct a promotion with a Commission-approved casino game.
- **3772-14-02 (no change)**, titled "Monetary controls for player against player contests." This rule is designed to help ensure the integrity of casino gaming while providing casino operators flexibility and discretion in the business operations of contests. The rule requires casino operators to have written procedures governing the collection of a rake and procedures for conducting transfers between all player against player contest banks and casino cages. It also requires chips and cash to be transported in a manner that allows continuous surveillance.
- **3772-14-03 (no change)**, titled "Player against player contest progressive fund." The rule creates the option for casino operators to create a player against player progressive fund

and includes additional options and requirements if a casino operator chooses to create such fund.

- 3. Please list the Ohio statute(s) that authorize the agency, board or commission to adopt the rule(s) and the statute(s) that amplify that authority.**

R.C. 3772.03 and 3772.033

- 4. Does the regulation implement a federal requirement? Is the proposed regulation being adopted or amended to enable the state to obtain or maintain approval to administer and enforce a federal law or to participate in a federal program? If yes, please briefly explain the source and substance of the federal requirement.**

Not Applicable

- 5. If the regulation implements a federal requirement, but includes provisions not specifically required by the federal government, please explain the rationale for exceeding the federal requirement.**

This question does not apply to these rules because the federal government does not regulate casino gaming in this state. Rather, casino gaming is permitted pursuant to Article XV, Section 6(C) of the Ohio Constitution and is controlled by Ohio's Casino Control Act (i.e., R.C. Chapter 3772).

- 6. What is the public purpose for this regulation (i.e., why does the Agency feel that there needs to be any regulation in this area at all)?**

Article XV, Section 6(C) of the Ohio Constitution and R.C. Chapter 3772 require the Commission to ensure the integrity of casino gaming by prescribing rules for how casino gaming shall be conducted, including rules governing the marketing materials for casino gaming. These rules are designed to continue to effectuate this constitutional and statutory mandate by streamlining requirements for player against player contests, promotions, and advertisements based on years of casino gaming operations in Ohio, and by allowing for more flexibility in casinos' daily operations without sacrificing necessary regulatory oversight.

- 7. How will the Agency measure the success of this regulation in terms of outputs and/or outcomes?**

Overall, the Commission will measure the success of these amended rules in terms of whether they help to ensure the integrity of casino gaming. This can be done in two ways: First, through evaluating whether the administrative cost of implementing and enforcing the proposed rules outweighs their public benefit. Second, through analyzing the regulated community's comments about requests for amendments to the rules or for waivers or variances from the rules. No such comments have been received on these rules during their review period or in the informal comment opportunities that preceded this filing.

8. Are any of the proposed rules contained in this rule package being submitted pursuant to R.C. 101.352, 101.353, 106.032, 121.93, or 121.931?

If yes, please specify the rule number(s), the specific R.C. section requiring this submission, and a detailed explanation.

No.

Development of the Regulation

9. Please list the stakeholders included by the Agency in the development or initial review of the draft regulation.

If applicable, please include the date and medium by which the stakeholders were initially contacted.

In reviewing these rules, an email was sent on June 11, 2024, to stakeholders. (Exhibits A and B). Stakeholders were asked to submit any written comments on the rules by 5:00 p.m. on June 25, 2024. (Exhibit A). These stakeholders included employees or casino operators, management companies, holding companies, gaming related vendors, and independent testing laboratories. No written comments from stakeholders were received. Finally, stakeholders had the opportunity to comment during the Commission's public meeting on July 17, 2024. No comments were made.

10. What input was provided by the stakeholders, and how did that input affect the draft regulation being proposed by the Agency?

No input was provided by stakeholders.

11. What scientific data was used to develop the rule or the measurable outcomes of the rule? How does this data support the regulation being proposed?

This question does not apply to these rules because no scientific data was necessary to develop or measure their outcomes. Instead, Commission staff reviewed its statutory mandates and looked at how other jurisdictions approached the rule topics in this package. Further, staff considered whether existing rules were the most efficient means by which to maintain the integrity of casino gaming and whether any requests for amendments, waivers, or variances had been requested or granted to the regulated community. In so doing, the Commission was able to use, as much as possible, rules the regulated community is accustomed to in other jurisdictions, with minor adaptations to remain in compliance with Ohio law.

12. What alternative regulations (or specific provisions within the regulation) did the Agency consider, and why did it determine that these alternatives were not appropriate? If none, why didn't the Agency consider regulatory alternatives? *Alternative regulations may include performance-based regulations, which define the required outcome, but do not dictate the process the regulated stakeholders must use to comply.*

Commission staff reviewed the rules adopted in other jurisdictions and the suggestions provided by stakeholders and other members of the industry and considered any waivers or

variances to existing rules that had been requested and granted. Further, after over a decade of experience regulating casino gaming operations in Ohio, the rules in this package are an attempt to more narrowly tailor the regulations governing certain activities and items related to casino gaming. The rules are a conglomeration of the rules used in other jurisdictions with adaptations made for Ohio and are, in some cases, the result of discussions between Commission staff and the regulated community, including reflections of existing waivers or present practice.

13. What measures did the Agency take to ensure that this regulation does not duplicate an existing Ohio regulation?

This question does not apply to these rules because no other regulations in these areas currently exist with respect to casino gaming, over which the Commission has sole authority.

14. Please describe the Agency's plan for implementation of the regulation, including any measures to ensure that the regulation is applied consistently and predictably for the regulated community.

At each casino facility, there are gaming agents and financial auditors observing, evaluating, and investigating the operations. In addition, the Commission's Regulatory Compliance personnel conduct regular audits to ensure compliance with Ohio law. Any issues that arise at the facilities are funneled to the Commission's central office where the Executive Director and his division directors can coordinate a consistent response and conduct outreach to the regulated community. Further, the granting or ultimate sanctioning of any of these license types must be brought before the Commission at a public meeting for a vote. Therefore, the regulated community can expect consistent and transparent licensing decisions.

Adverse Impact to Business

15. Provide a summary of the estimated cost of compliance with the rule(s). Specifically, please do the following:

a. Identify the scope of the impacted business community, and

The affected stakeholders include casino operators and management companies.

b. Quantify and identify the nature of all adverse impact (e.g., fees, fines, employer time for compliance, etc.).

The adverse impact can be quantified in terms of dollars, hours to comply, or other factors; and may be estimated for the entire regulated population or for a representative business. Please include the source for your information/estimated impact.

Overall, the nature of the potential adverse impact from the rules include fines for noncompliance, costs for employee time and payroll, and other potential monetary costs to the casino operators. However, because these rules are currently in effect, the Commission does not anticipate adverse impacts from these rules.

- 16. Are there any proposed changes to the rules that will reduce a regulatory burden imposed on the business community? Please identify. (*Reductions in regulatory burden may include streamlining reporting processes, simplifying rules to improve readability, eliminating requirements, reducing compliance time or fees, or other related factors*).**

The rules contained in this package do not contain any amendments or proposed changes. Thus, there are no changes to any regulatory burden on the business community.

- 17. Why did the Agency determine that the regulatory intent justifies the adverse impact to the regulated business community?**

The regulatory intent justifies any adverse impact because Article XV, Section 6(C) of the Ohio Constitution and R.C. Chapter 3772 require the Commission to ensure the integrity of casino gaming, specifically by licensing and ensuring certain other minimum standards for operators are met. Moreover, the regulatory intent justifies any adverse impact because casino gaming is a highly regulated industry. Unregulated gaming poses a threat to the public welfare and raises the potential for fraud and abuse. To mitigate these threats, the Commission, like other gaming regulatory bodies, is using its regulatory authority to establish a best practice framework, starting with its own internal management and licensing rules covering operators, management companies, and holding companies.

Regulatory Flexibility

- 18. Does the regulation provide any exemptions or alternative means of compliance for small businesses? Please explain.**

Yes (indirectly), though it is unlikely this will be necessary since these regulations only impact businesses in the casino industry, none of which likely constitute a small business. These rules indirectly provide exemption or alternative means of compliance through Ohio Adm.Code 3772-1-04, which permits the Commission, upon written request, to grant waivers and variances from the rules adopted under R.C. Chapter 3772, including these rules, if doing so is in the best interest of the public and will maintain the integrity of casino gaming in the State of Ohio.

- 19. How will the agency apply Ohio Revised Code section 119.14 (waiver of fines and penalties for paperwork violations and first-time offenders) into implementation of the regulation?**

Though it is unlikely R.C. 119.14 will apply to these rules because they only impact businesses in the casino industry, none of which likely constitute a small business, the Commission will adhere to the statutory requirements thereunder, if applicable.

To the extent R.C. 119.14 would apply to a violation of these rules, the Commission will provide verbal and written notification to the small business to correct the paperwork violation. Thereafter, the Commission would allow the small business a reasonable amount of time to

correct the violation. The Commission and its staff would also offer any additional assistance necessary to aid in remediation of the violation. No further action would be taken unless the small business fails to remedy the violation within the reasonable time allotted by the Commission.

20. What resources are available to assist small businesses with compliance of the regulation?

The Commission and its staff are dedicated to working with members of the regulated community and the public to effectively and efficiently regulate casino gaming in this state. As a result, the following resources are available:

- Commission's mailing address:
100 E. Broad Street, 20th Floor, Columbus, Ohio 43215
- Commission's toll free telephone number: (855) 800-0058
- Commission's fax number: (614) 485-1007
- Commission's website: <http://www.casinocontrol.ohio.gov/>
- Commission's email: info@casinocontrol.ohio.gov and
- Commission's casino gaming listserv:
<https://ohio.us7.list-manage.com/subscribe?u=1c618d44ec5c718843ae2e7bb&id=3d36674d21>

Also, all members of the regulated community and public may, in accordance with rule 3772-2-04, request to address the Commission during a public meeting. Finally, all members of the regulated community may, pursuant to rule 3772-1-05, request waivers and variances from Commission regulations.

EXHIBIT A

From: [Jessica Franks](#)
To: [Berner, Emily](#)
Subject: Casino Gaming 5 Year Rule Review
Date: Tuesday, June 11, 2024 12:06:27 PM

[View this email in your browser](#)



Casino Gaming Stakeholders,

As part of the Ohio Casino Control Commission's ("Commission") efforts to carefully review casino gaming regulations, the Commission is putting forward 3 proposed rule amendments: Ohio Adm. Code 3772-13-02, 3772-13-03, and 3772-14-01, as well as 3 no change rules that are up for their five-year rule review: Ohio Adm. Code 3772-13-01, 3772-14-02, and 3772-14-03, for stakeholder comment. The proposed versions of those rules can be found [here](#).

As always, please feel free to forward this communication to anyone else you think may be interested in these rules. If you would like to unsubscribe from this listserv, you may do so using the link located at the bottom of this email.

We understand that you may have questions or would like additional information before commenting, and if that is the case, we encourage you to reach out to your normal contacts at the Commission at your earliest convenience. If, in the end, you would like to provide formal written comments, please email them to rulecomments@casinocontrol.ohio.gov **by 5:00 PM on June 25, 2024.**

While you will have some additional chances to comment on these rules, including when they are filed with the state's Common Sense Initiative Office, please note that it is much easier for the Commission and for stakeholders to work out any questions or comments directly before the rules start the formal rule filing process.



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You are receiving this email because the Ohio Casino Control Commission identified you as a casino gaming stakeholder.

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Ohio Casino Control Commission
100 East Broad Street
20th Floor
Columbus, OH 43215

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EXHIBIT B

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